测绘遥感信息工程国家重点实验室
State Key Laboratory of Information Engineering in Surveying, Mapping and Remote Sensing

Synthetic Aperture Radar Tomography – practical course

Timo Balz, Stefano Tebaldini, Laurent Ferro-Famil

TomoSAR_Main.m

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%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
%%%%%%%%%%%%%%%%%%%%
% DEMONSTRATIVE TOMOGRAPHIC SAR PROCESSING FOR FOREST ANALYSIS
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%
% THE FOLLOWING SCRIPT AND ALL RELATED SCRIPTS/FUNCTIONS AND DATA ARE INTENDED AS
% MATERIAL FOR THE TOMOSAR TRAINING COURSE HELD IN BEIJING IN FEBRUARY 2015
% BY LAURENT FERRO-FAMIL AND STEFANO TEBALDINI
%
% THIS SOFTWARE WAS DEVELOPED AND TESTED USING MATLAB R2011b
%
% SAR DATA USED IN THIS SCRIPT ARE PART OF THE SAR DATA-SET ACQUIRED BY DLR
% IN 2008 IN THE FRAME OF THE ESA CAMPAIGN BIOSAR 2008
% DATA FOCUSING, COREGISTRATION, PHASE FLATTENING, AND GENERATION OF KZ
% MAPS WERE CARRIED OUT BY DLR.
% DATA PHASE CALIBRATION WAS CARRIED OUT BY THE AUTHOR
%
% TERRAIN ELEVATION DATA USED IN THIS SCRIPT ARE EXTRACTED FROM
% THE LIDAR DATA-SET ACQUIRED BY THE SWEDISH DEFENCE RESEARCH AGENCY (FOI)
% AND HILDUR AND SVEN WINQUIST'S FOUNDATION IN THE FRAME OF THE ESA
% CAMPAIGN BIOSAR 2008
% PROCESSING OF LIDAR DATA AND PROJECTION ONTO SAR GEOMETRY WAS CARRIED OUT
% BY THE AUTHOR
%
% YOU ARE WELCOME TO ADDRESS ME QUESTIONS/COMMENTS/CORRECTIONS AT
% stefano.tebaldini@polimi.it
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%%%%%%%%%%%%%%%
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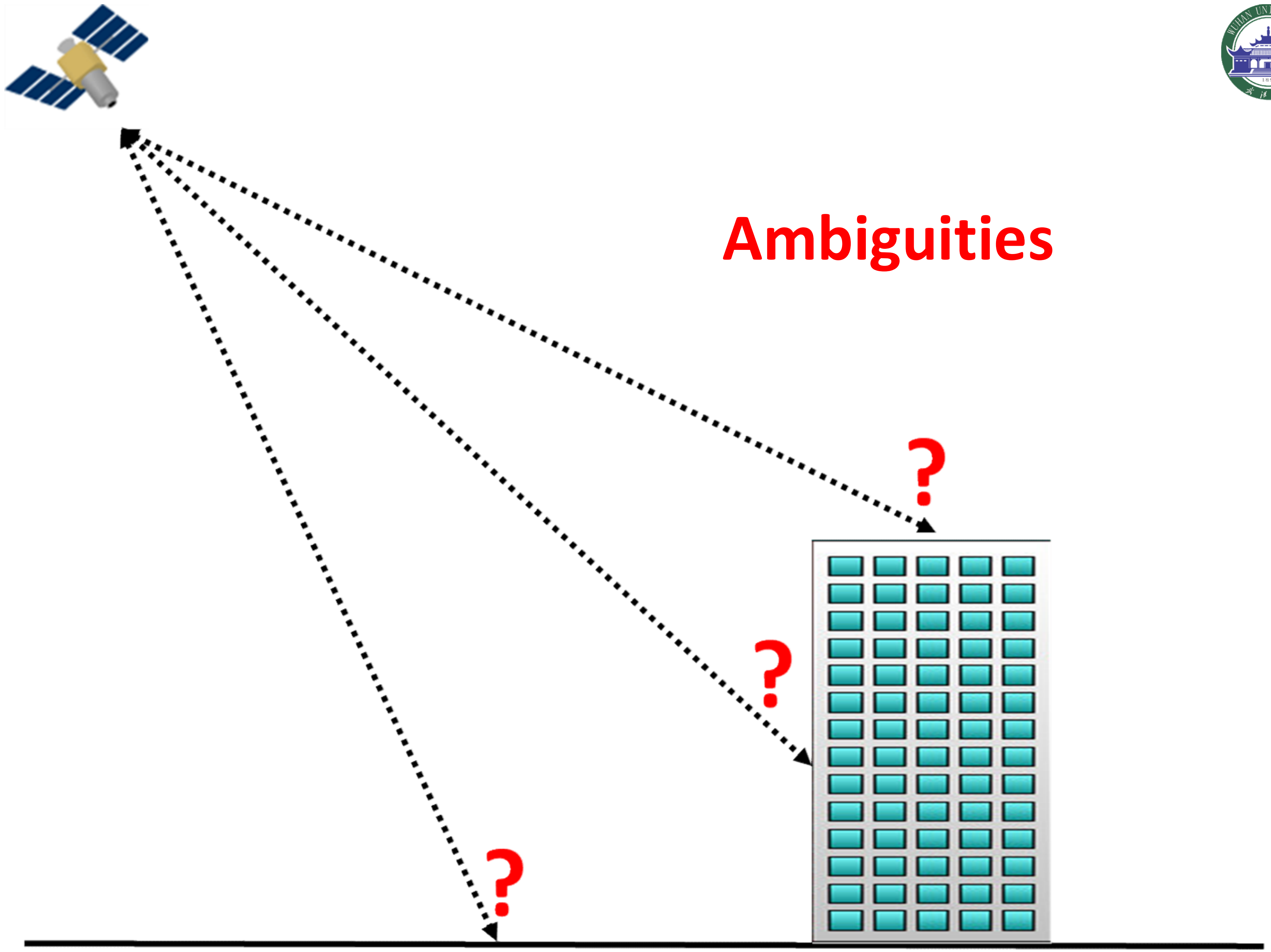
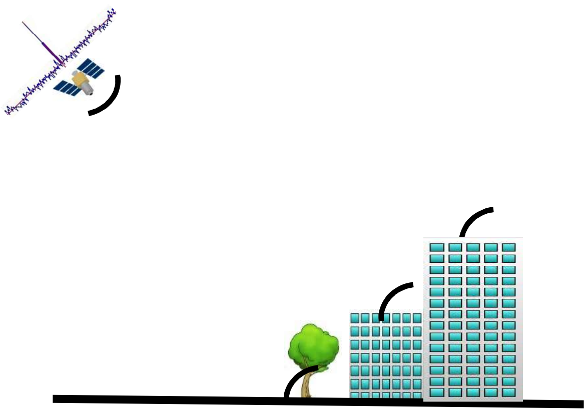
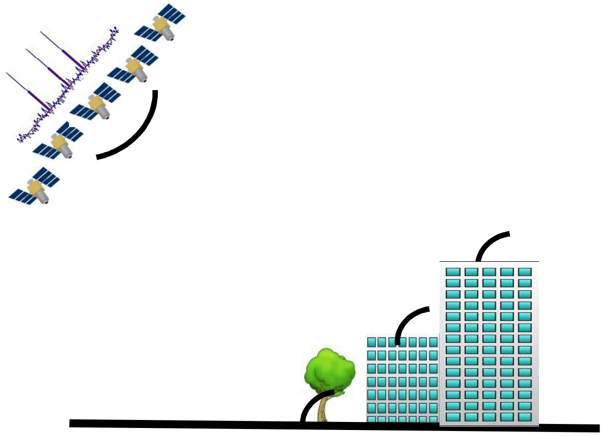
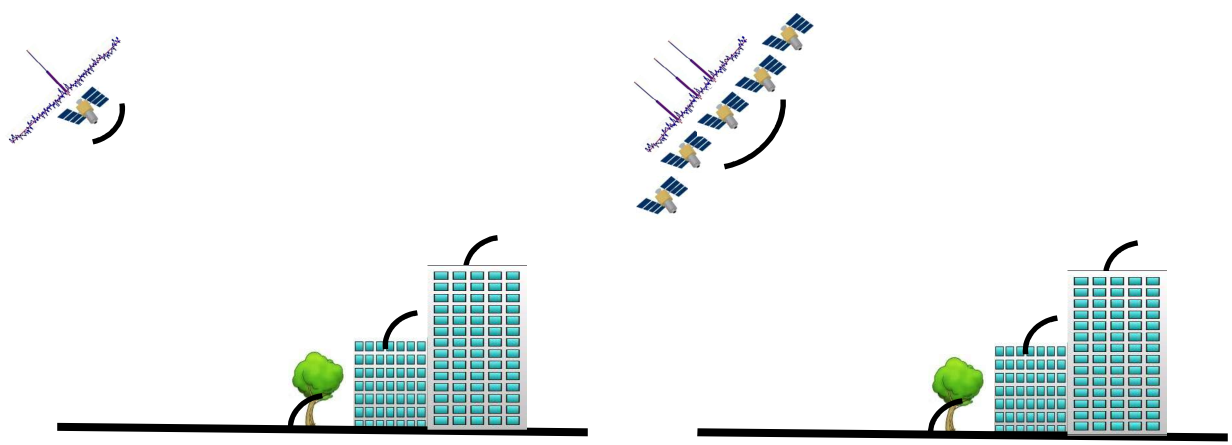

Ambiguities
?
?
?

TomoSAR principle

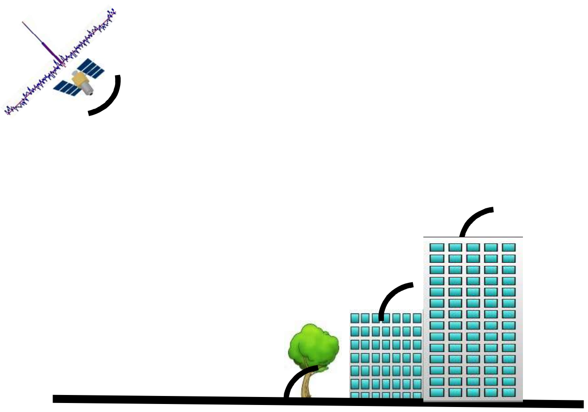


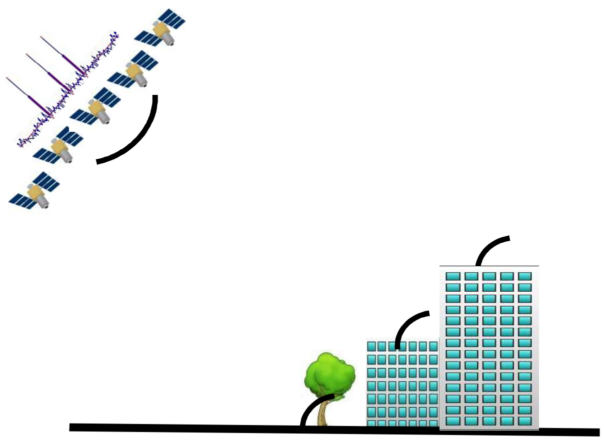


TomoSAR principle

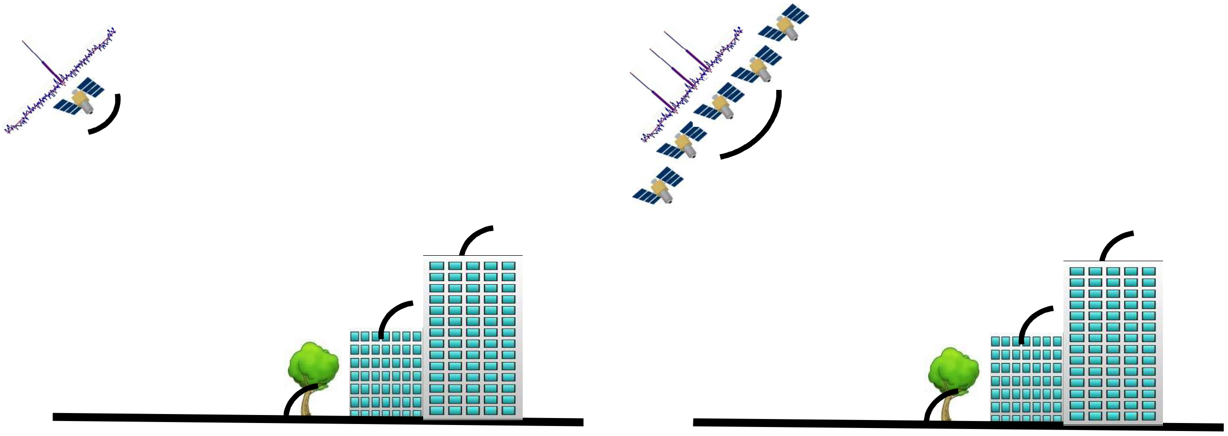


TomoSAR principle

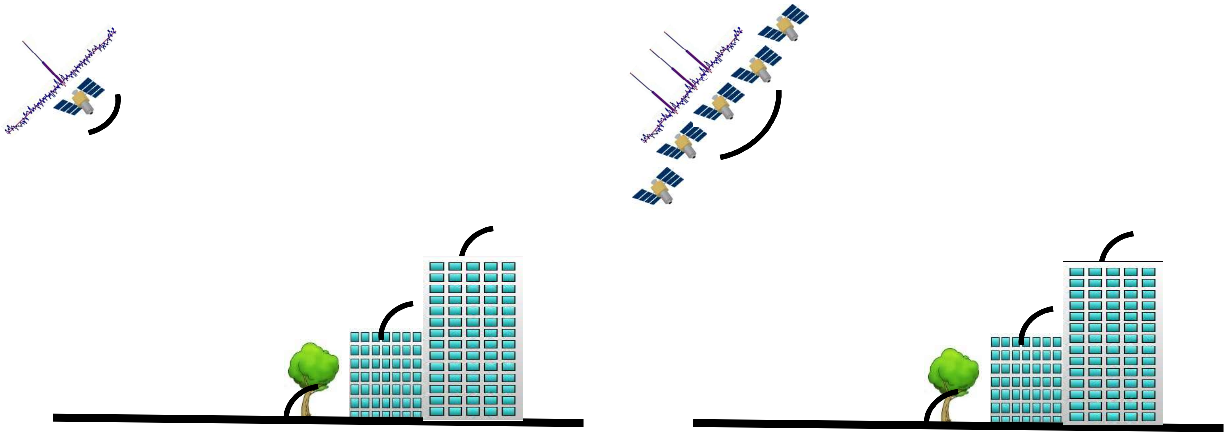




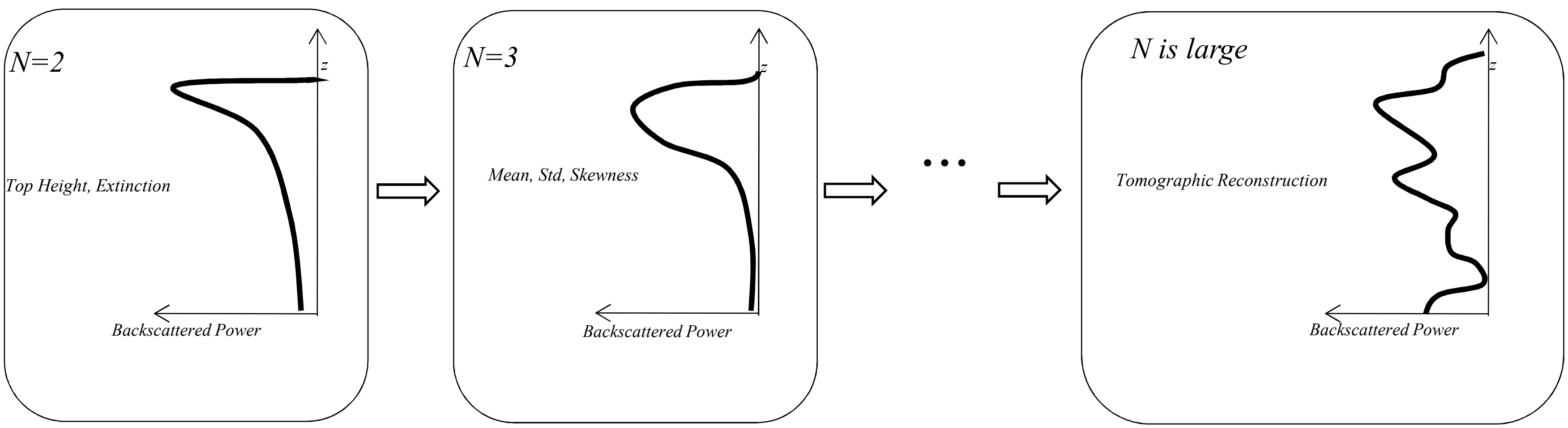
TomoSAR principle



TomoSAR principle



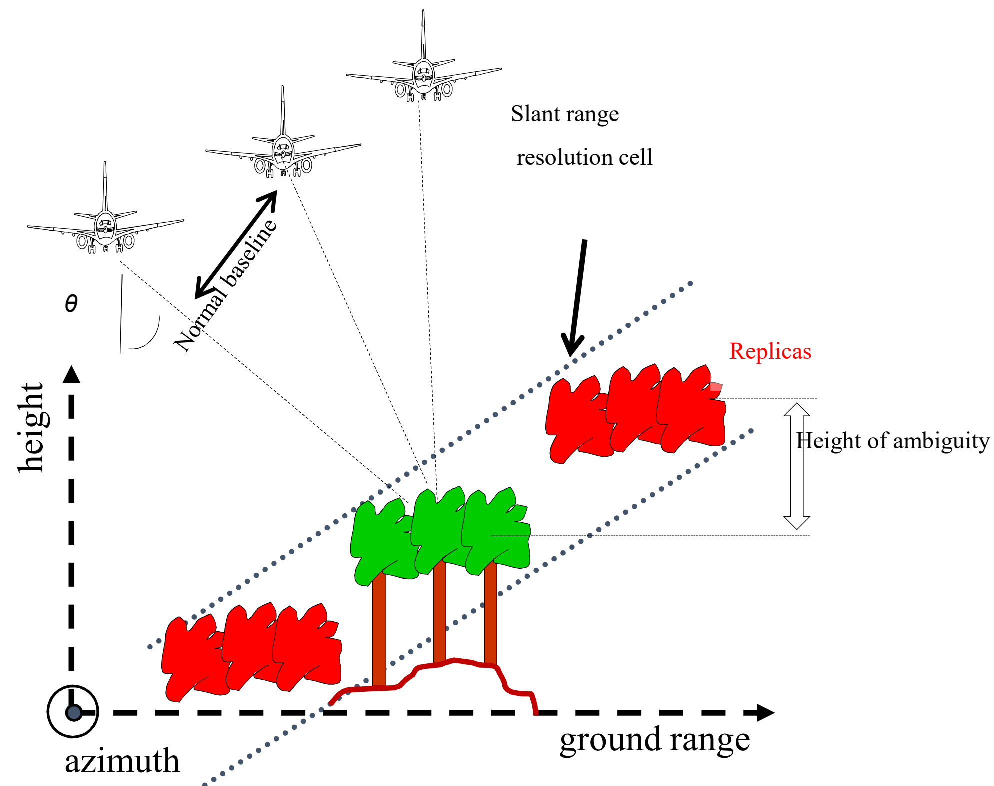
- Why multiple baselines?
  - Because: **more equations!**
  - Increased robustness against disturbances (temporal decorrelation…)
  - and/or relaxation of hypotheses required in the single baseline case
  - more unknowns are available to characterize the vertical structure of the scene



MB allow to pass from model-based inversion to full tomographic reconstruction

Rationale: Form a 2D synthetic aperture by collecting multiple SAR acquisitions acquired along parallel flight lines

- Vertical resolution ⇔ total normal baseline span
- Vertical ambiguity ⇔ normal baseline spacing



Multi-baseline (MB) systems:

- Multiple pass systems:
  airborne and spaceborne SARs
- Multiple antenna systems:
  ground based Radars

MB campaigns involve:

- Higher costs:
  spaceborne: ≈ x 1
  ground based: ≈ x N
- More sophisticated processing:
  see single vs multi-baseline InSAR…

Source:
Tebaldini & Rocca

Tomographic SAR

Rationale: Form a 2D synthetic aperture by collecting multiple SAR acquisitions acquired along parallel flight lines

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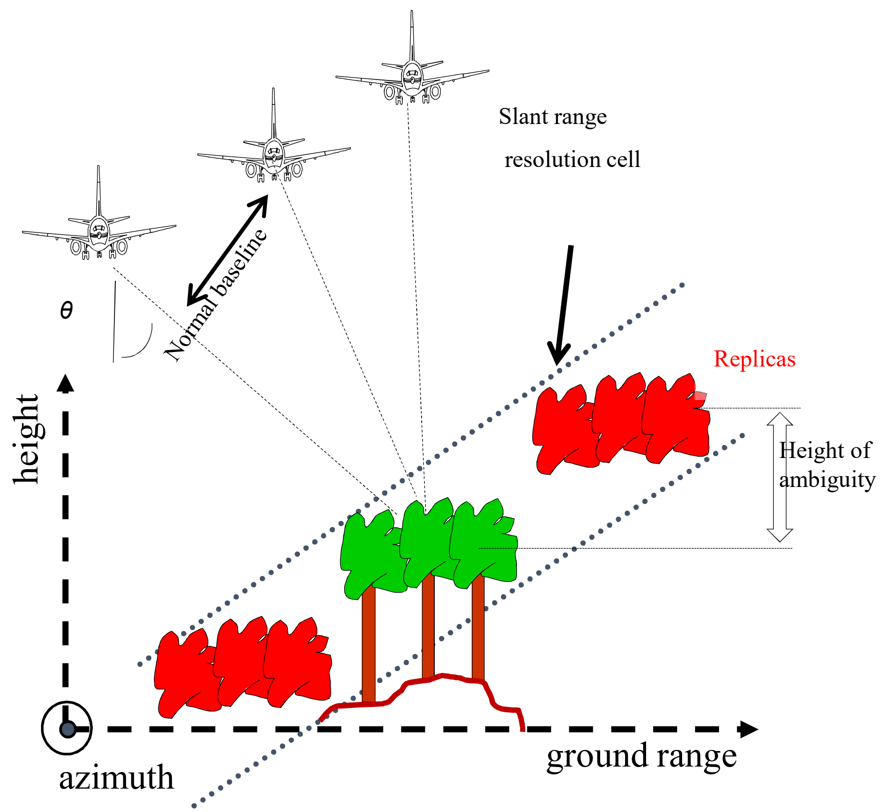

Multi-baseline (MB) systems:

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MB campaigns involve:

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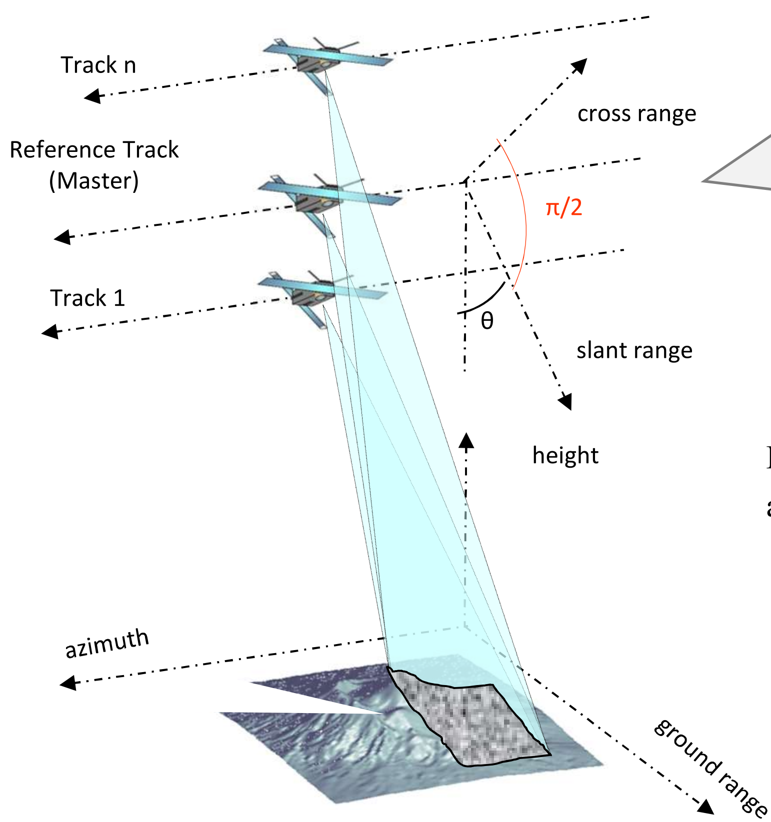
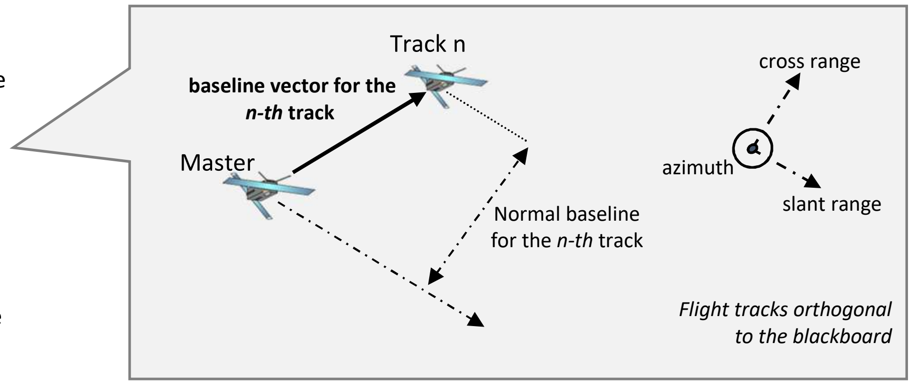
Source: Tebaldini & Rocca

Basic concepts

Multiple baselines ⇔ Illumination from multiple points of view





By collecting several baselines it is possible to synthesize an antenna along the cross range direction as well

⇩

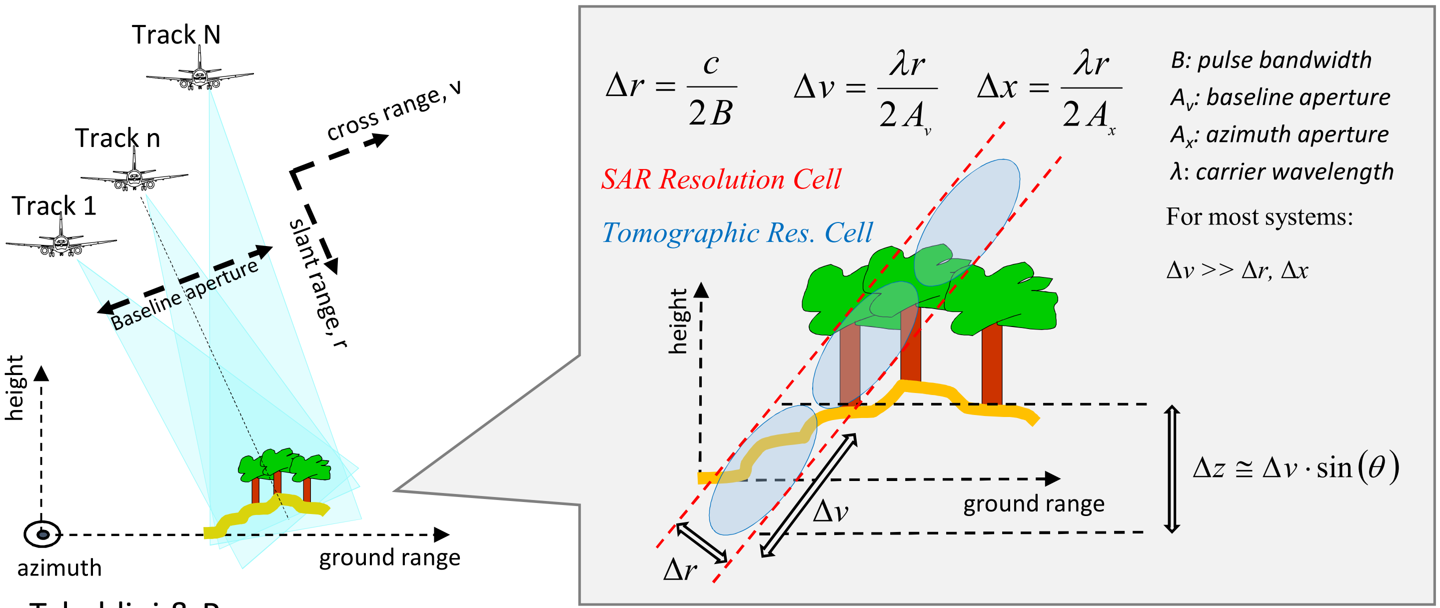
3D focusing is possible in the coordinate system: *slant range, azimuth, cross range*

Source: Tebaldini & Rocca

Basic concepts

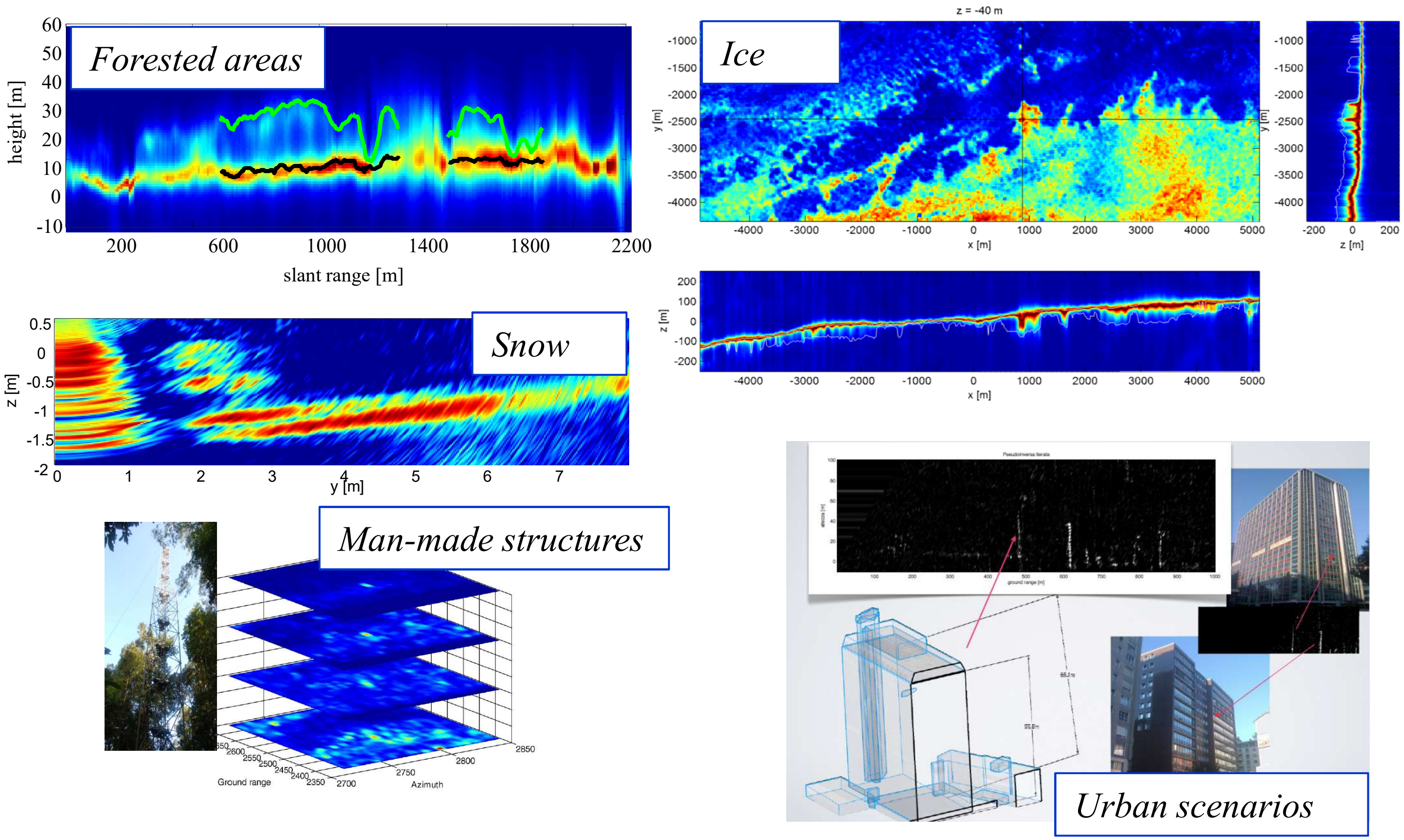
Resolution is determined by pulse bandwidth along the slant range direction, and by the lengths of the synthetic apertures in the azimuth and cross range directions

⇒The SAR resolution cell is split into **multiple layers**, according to baseline aperture



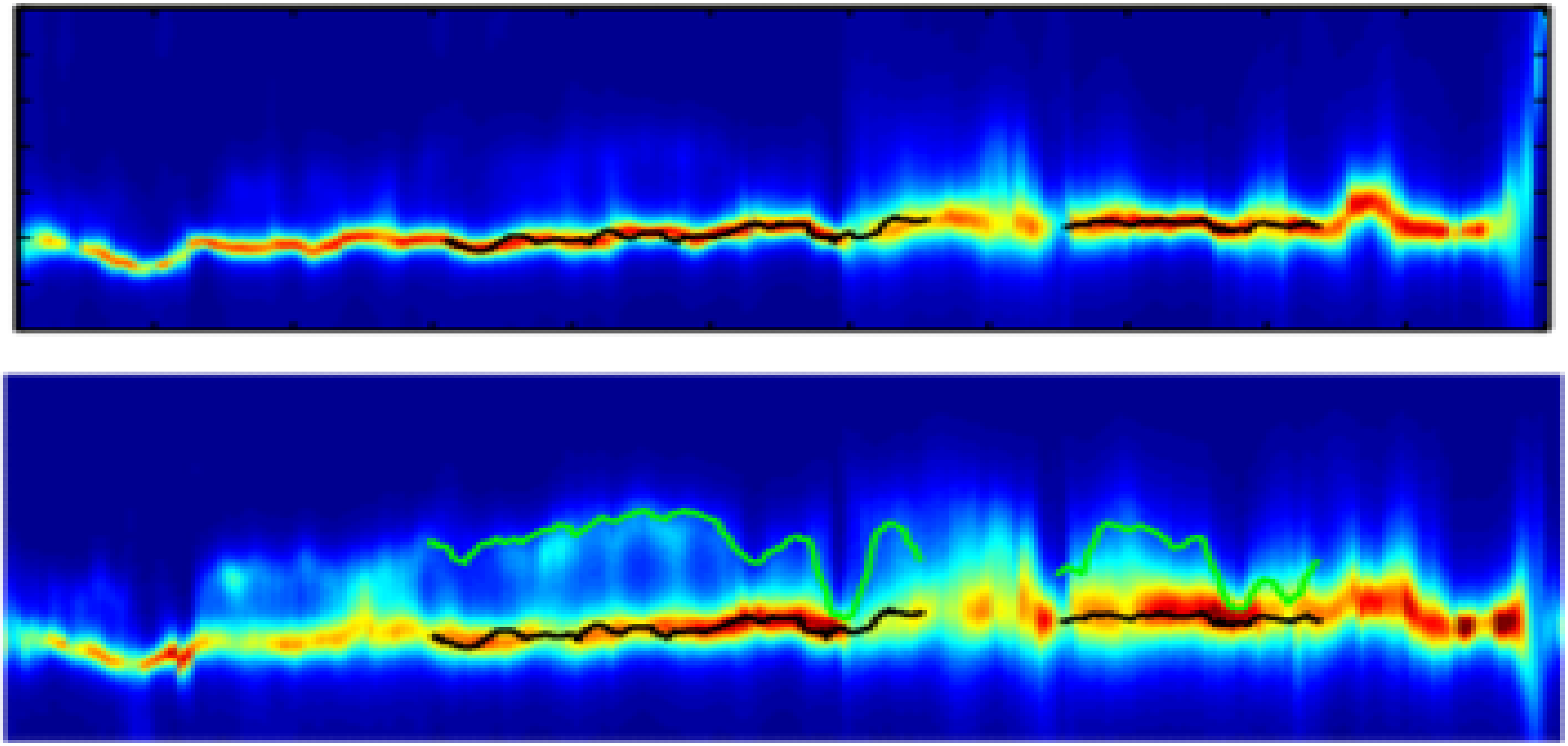
Source: Tebaldini & Rocca

TomoSAR gives access to the 3D structure



Source: Tebaldini & Rocca

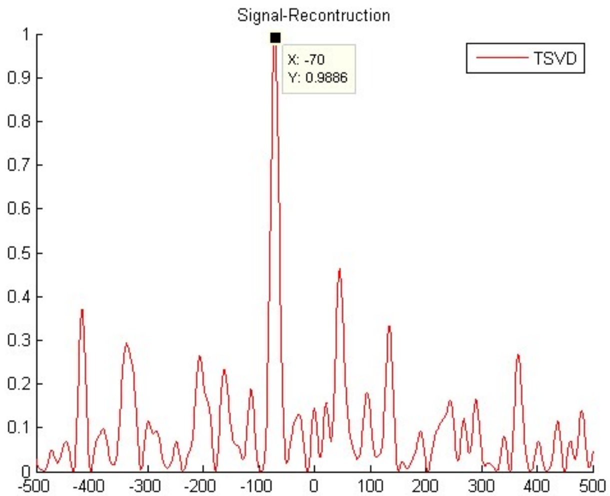
Polarimetric TomoSAR over Vegetation

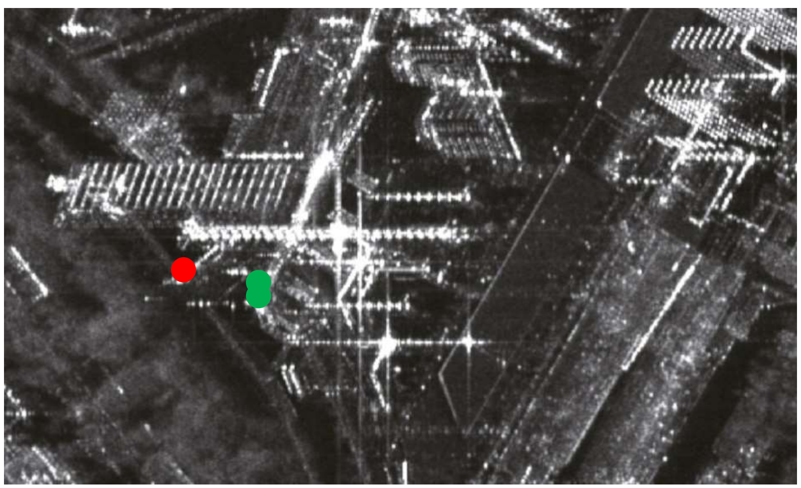


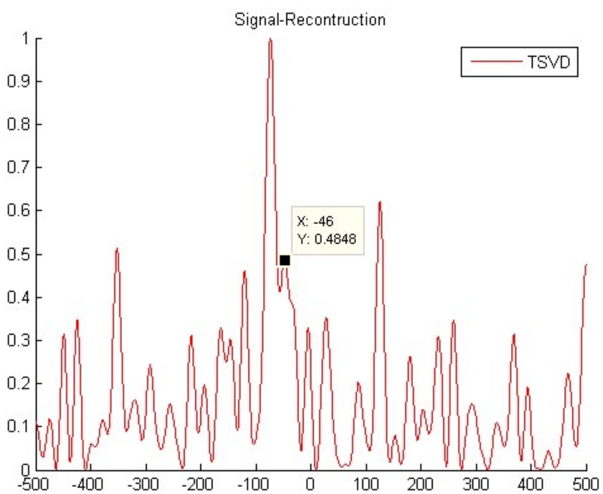
Foliage penetration

Biomass estimation

Urban TomoSAR

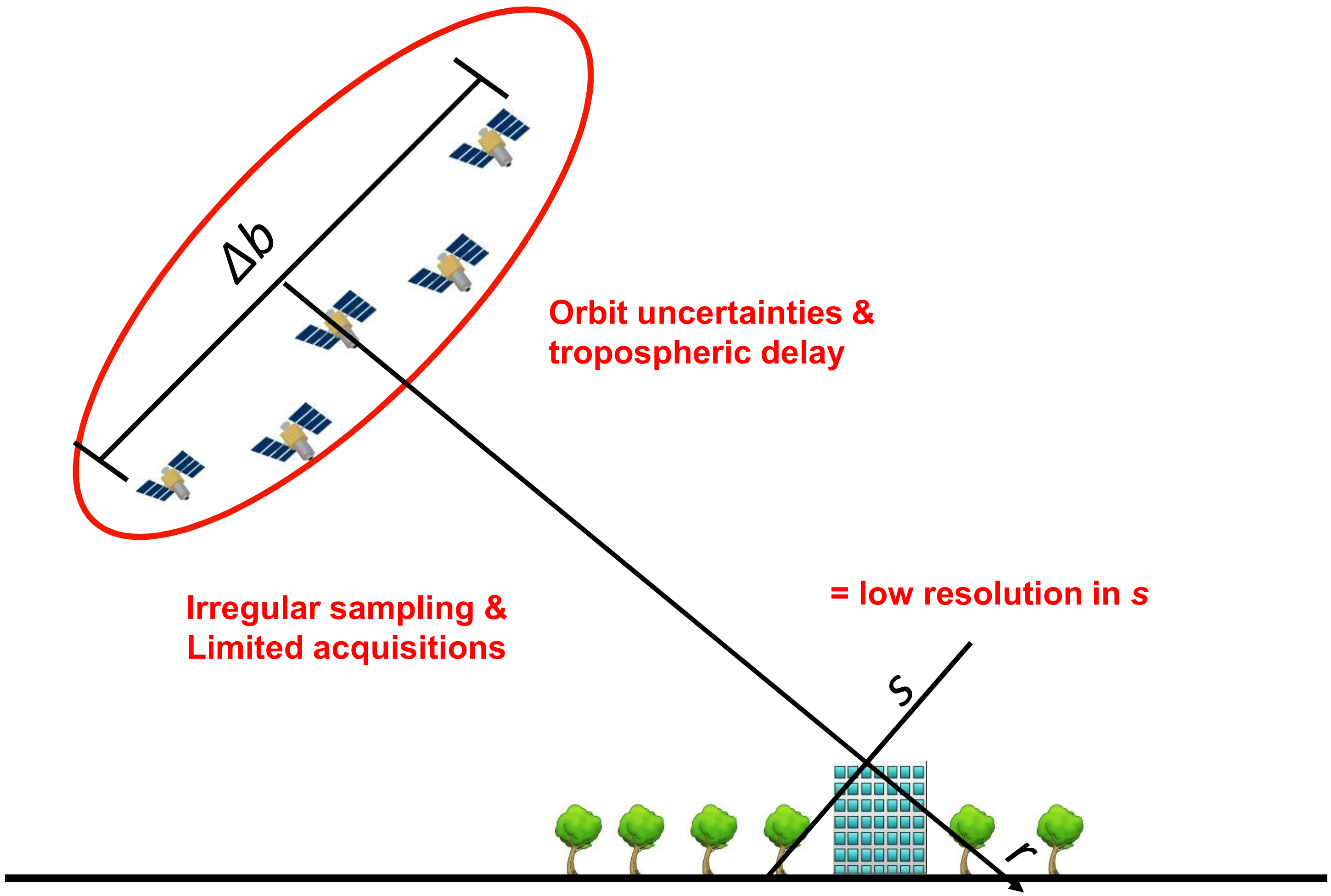






Finite number of scatterers

TomoSAR principle



TomoSAR as spectral estimation problem

$$g_n = \int_{-a}^{a} \gamma'(s) \exp\left[-j2\pi\left(-\frac{2}{\lambda}\frac{b_{\perp n}}{\left|r_0 - b_{\parallel n}\right|}\right)s\right]ds$$

where

$$\gamma'(s) = \gamma(s)\exp[-j\frac{4\pi}{\lambda}\frac{s^2}{2\left|r_0 - b_{\parallel n}\right|}]$$

so, g_n is the Fourier transformation of $\gamma'(s)$ at position

$$f_n = -\frac{2b_{\perp n}}{\lambda\left|r_0 - b_{\parallel n}\right|} \approx -\frac{2b_{\perp n}}{\lambda r}$$

TomoSAR basics

The focused SAR image from the n^{th} pass of a specific cell is nothing else but the Fourier Transfer of the reflectivity function in the elevation direction at the position f_n.

$$g_n = \int_{-a}^{a} \gamma(s) \exp[-j2\pi f_n s] \, ds = FT[\gamma(s)]|f_n = g(f)\big|f_n$$

TomoSAR basics

The expected resolution in elevation p_s depends on the slant-range *r* and the aperture size in elevation Δb

$$p_s = \frac{\lambda r}{2\Delta b}$$

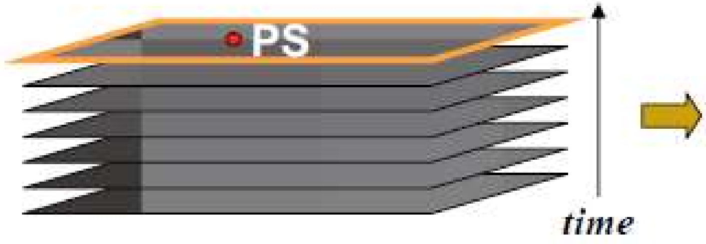
The extent of the illuminated objects and therefore the limits of the extent in elevation Δs depends on *r,* Δb, and the resolution in range p_r. In the spaceborne case, with large slant-range *r,* this is seldom a limitation (see Zhu & Bamler, 2010).

$$\Delta s \ll \frac{p_r r}{\Delta b}$$

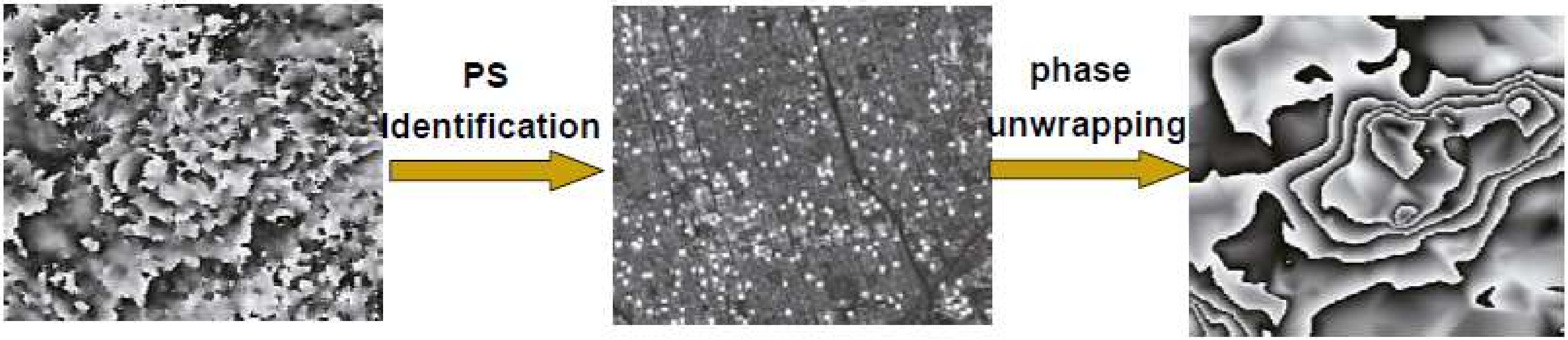
PS-InSAR for pre-processing

- Using TomoSAR on a large area requires the removal of atmospheric effects
- This can be done using PS-InSAR
  - However, this requires a large number of PS to be found
  - Therefore, this works best in urban areas
- PS-InSAR is used for pre-processing
- Afterwards, TomoSAR can be used

PS-InSAR



Those scatterers (called PS) that are coherently observed by the radar during a long period of are identified from a few tens of SAR images.



- Finding stable point-like targets --- Permanent Scatterers
- Interpolating atmosphere affection and remove it
- Estimate the elevation and deformation on PS

PS-InSAR steps

- Import
- Selection of the master image
- Co-Registration
    - Typically to a single-master
- Interferogram processing
    - Not in every implementation. Several PS-InSAR implementations only use the phases of the PS candidates
- PS candidates selection
- APS estimation
    - Typically on a subset of the PS candidates
- *PS point processing*
- *Post-processing*
- *Visualization*

Image co-registration

- Identical to the co-registration described in the InSAR section:

- Registration accuracy < 0.2 pixel is required
  - This requirement is even much higher during TOPS processing

- Different methods available
  - Based on the amplitude
  - Based on complex data – searching maximal coherence

- Slave images are resampled to the master image

- Results need to be checked and the parameters may need to be adjusted

PS candidate selection

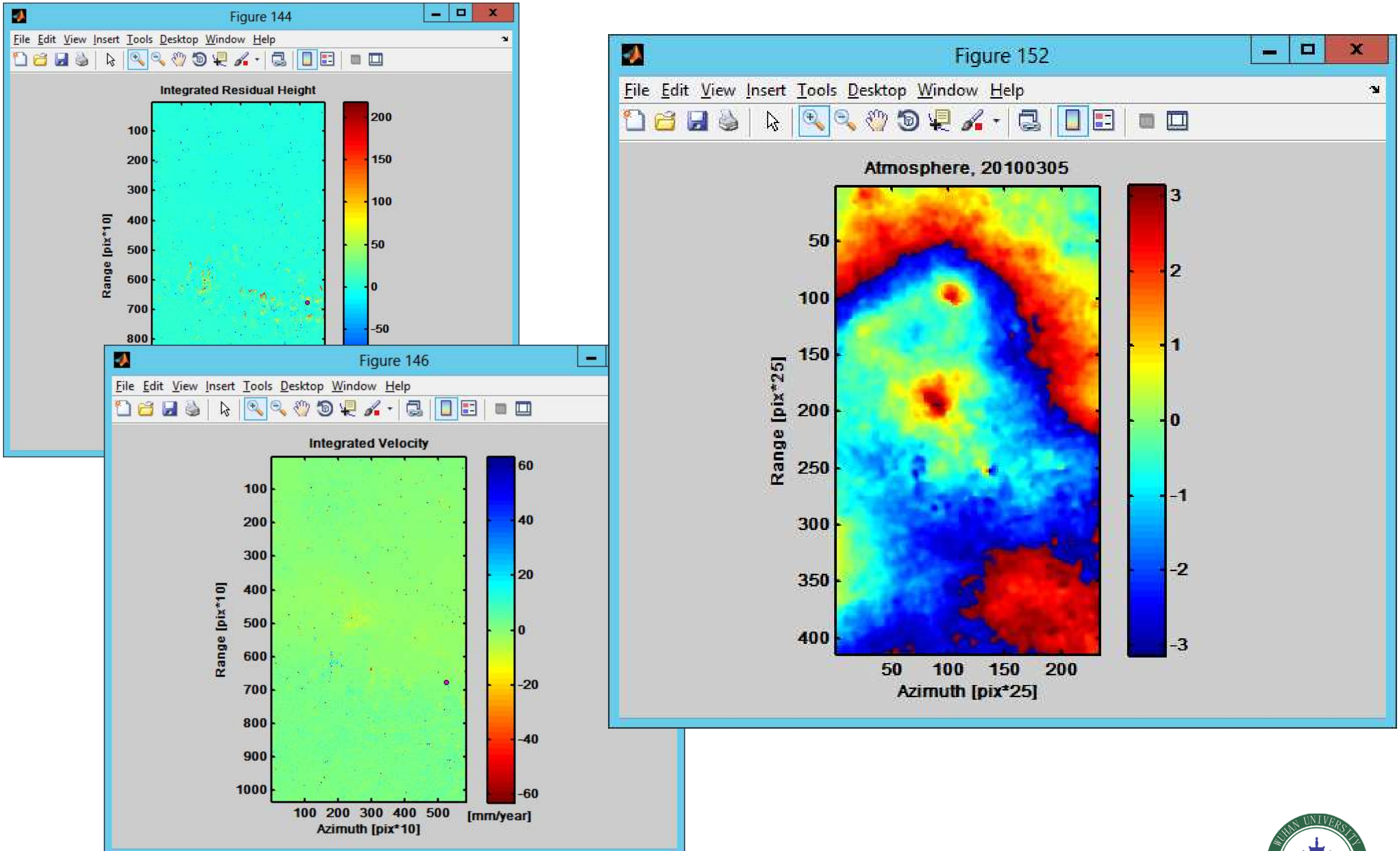
- Classical way: amplitude dispersion index

$$d_a = \frac{StdDev_a}{Mean_a}$$

- Other possible ways, e.g. based on coherence

- Be aware: amplitude dispersion index not ideal for TomoSAR, because the existence of several scatterers in a resolution cell can increase the index

APS estimation



Example: SARProZ

Spectral estimators

- Beamforming:

inverse Fourier Transform; coarse spatial resolution; radiometrically consistent

$$\hat{S}(v) = \mathbf{a}^H(v)\hat{\mathbf{R}}\mathbf{a}(v) \qquad \mathbf{a}(v) = \left[\exp\left(j\frac{4\pi}{\lambda r}b_1 v\right) \quad \exp\left(j\frac{4\pi}{\lambda r}b_2 v\right) \quad \cdots \quad \exp\left(j\frac{4\pi}{\lambda r}b_N v\right)\right]^T$$

- Capon Spectral Estimator:

spatial resolution is greatly enhanced, at the expense of radiometric accuracy;

$$\hat{S}(v) = \frac{1}{\mathbf{a}^H(v)\hat{\mathbf{R}}^{-1}\mathbf{a}(v)}$$

- Methods based on the analysis of the Eigenstructure of **R** (MUSIC, ESPRIT…):

determination of the dominant scatterering centers; mostly suited for urban scenarios

- **Methods based on sectorial information (Truncated SVD, PCT…):**

optimal basis choice (e.g.: Legendre), depending on a-priori info about the scene vertical extent

- Model based methods (NLS, COMET…):

model based; high radiometric accuracy; high computational burden; possible model mismatches

- Compressive sensing:

localization of few scattering centers via L1 norm minimization; mostly suited for urban scenarios

Multiple scatterers

$$g_n = \sum_{k=1}^{n_p} \gamma_k e^{-j2\pi f_n s_k} + v_n \qquad n = 0, \dots, n_s$$

where

g_n = complex value observed for the n^th pass

γ_k= complex amplitude of k^th scatterer

s_k= elevation of k^th scatterer

$n_s + 1$= number of available images

n_p=number of scatterers inside a resolution cell

v_n=noise

f_n=frequency of sampled FT which depends on the baseline

Non-linear least-square estimation

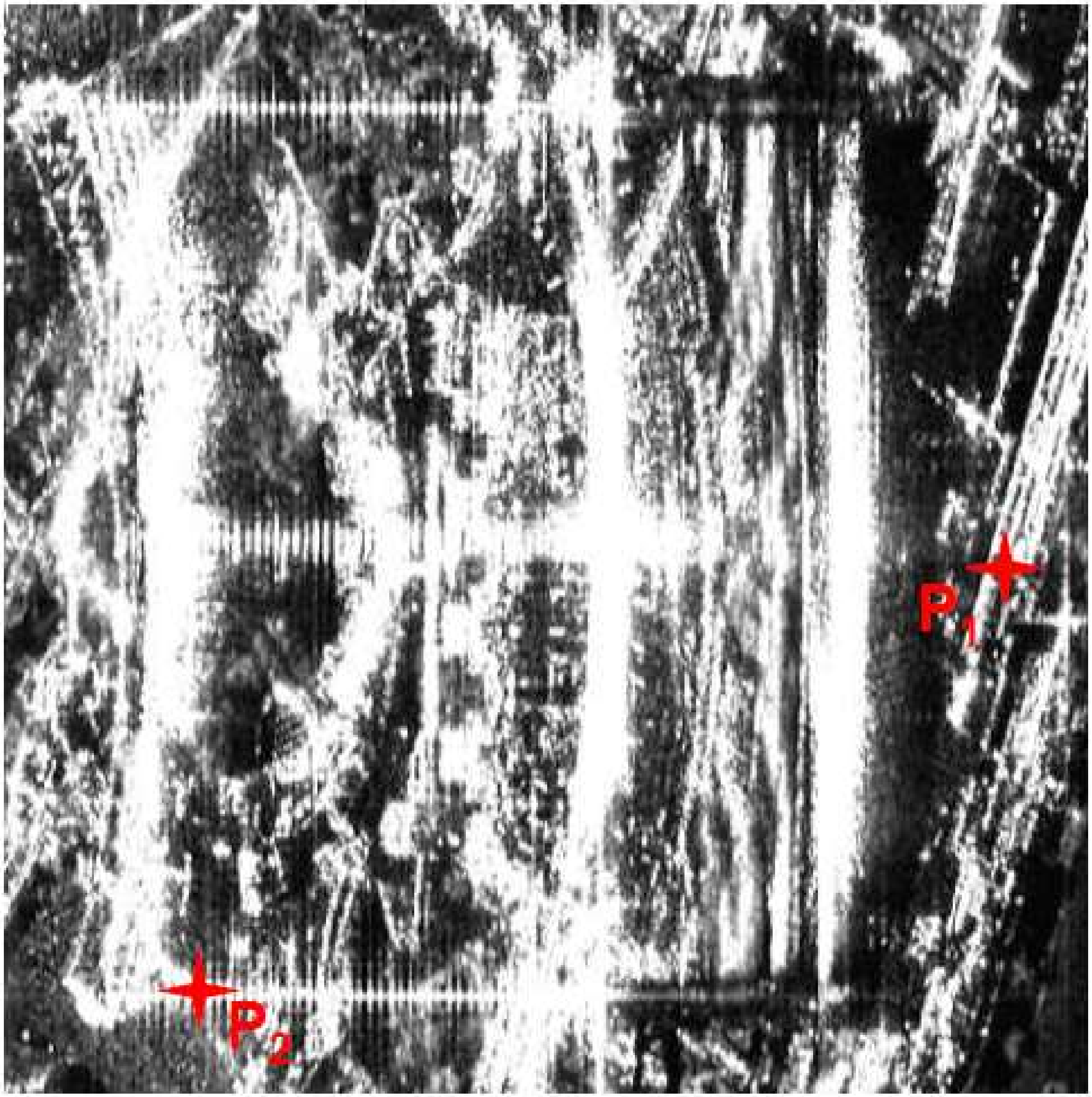
$$\vec{g} = H(\vec{s}) \cdot \vec{x} + \vec{v}$$

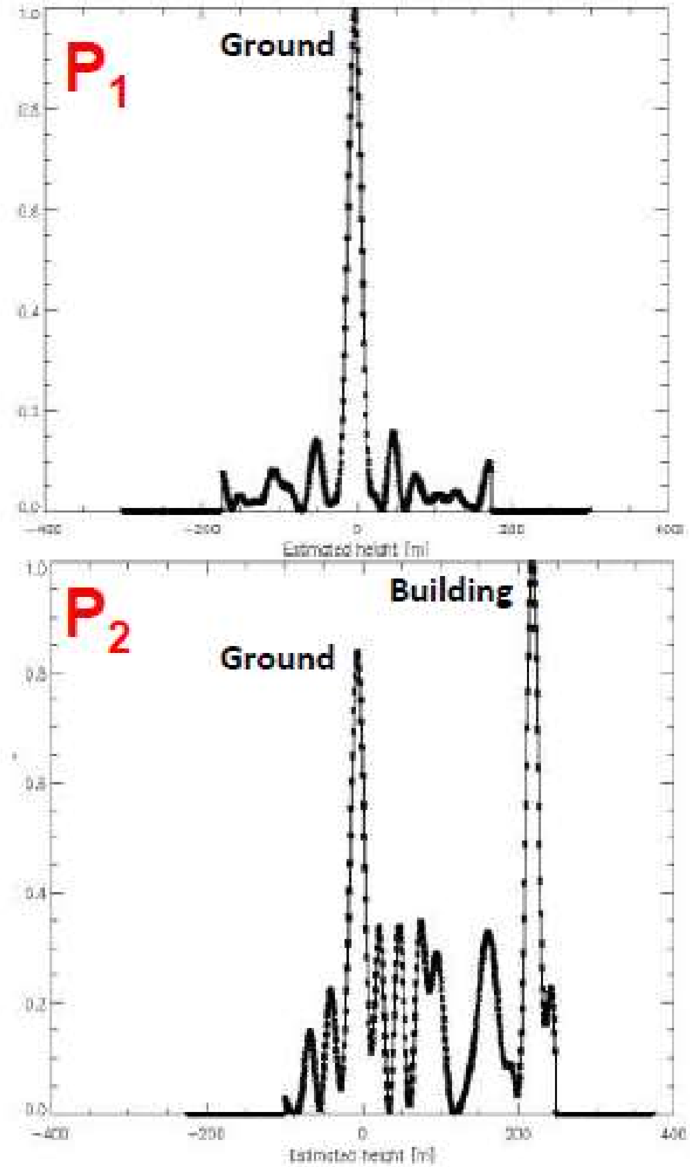
$$H(\vec{s}) = \begin{bmatrix} e^{2\pi f_0 \ s_1} & \cdots & e^{2\pi f_0 \ s_{n_p}} \\ \vdots & & \vdots \\ e^{2\pi f_{n_s} s_1} & \cdots & e^{2\pi f_{n_s} s_{n_p}} \end{bmatrix}_{(n_s+1)\times n_p}$$

$$\vec{g} = \begin{bmatrix} g_0 \\ \vdots \\ g_n \end{bmatrix}_{(n_s+1)\times 1} \qquad \vec{x} = \begin{bmatrix} \gamma_1 \\ \vdots \\ \gamma_{n_p} \end{bmatrix}_{n_p\times 1} \qquad \vec{v} = \begin{bmatrix} v_0 \\ \vdots \\ v_n \end{bmatrix}_{(n_s+1)\times 1}$$

SVD on real data

Deutsches Zentrum für Luft- und Raumfahrt e.V.
in der Helmholtz-Gemeinschaft





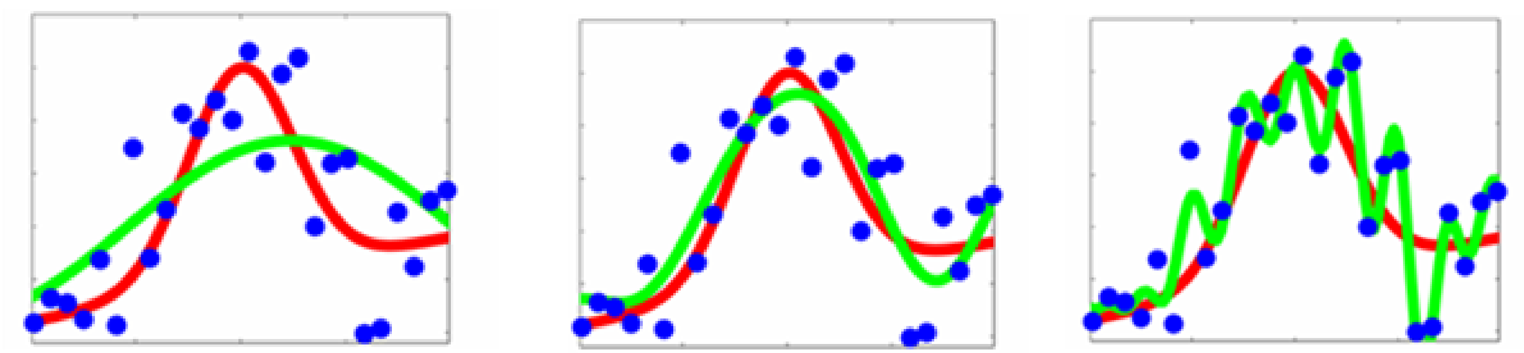
Model selection

- Selecting a statistical model for given data

too simple – order too low

appropriate model

too complex – order too high



- Selecting the correct model is the model selection problem

Model selection methods

- Bayesian Information Criterion (BIC)

$$\hat{k} = \arg\max_{k} \left\{ \ln p\left(y \middle| \hat{\theta}(k), k\right) - \frac{k}{2} \ln n \right\}$$

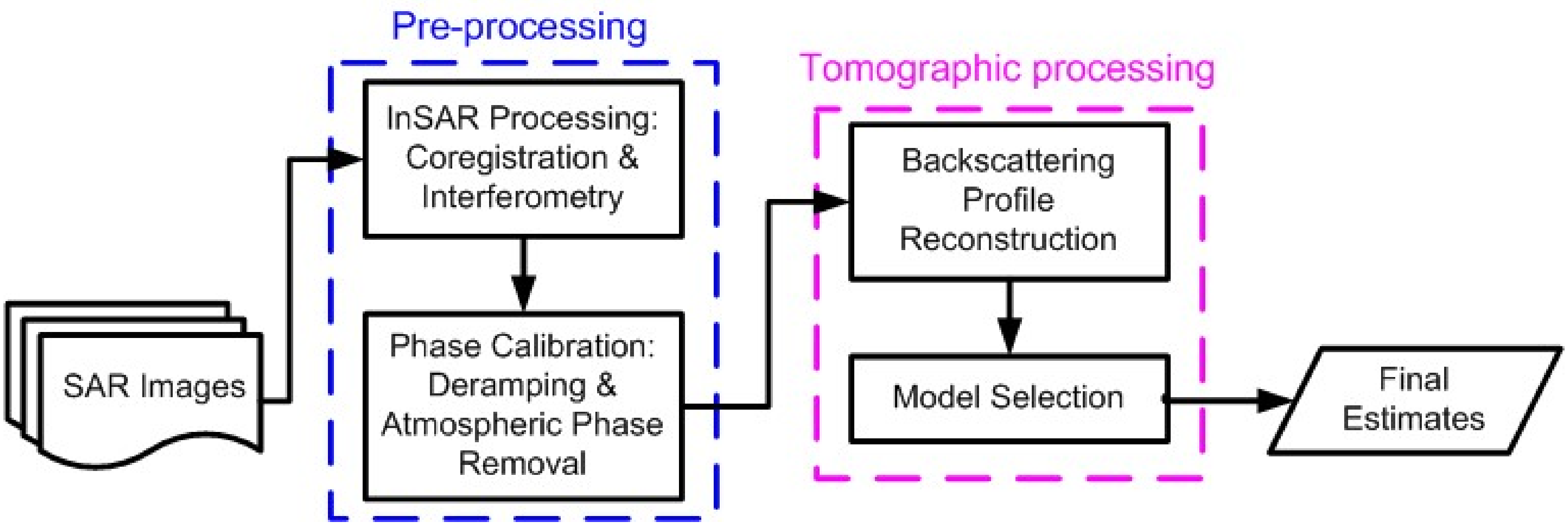
- Akaike Information Criterion (AIC)

$$\hat{k} = \arg\min_{k} \left\{ -2\ln p\left(y \middle| \hat{\theta}(k), k\right) + 2k \right\}$$

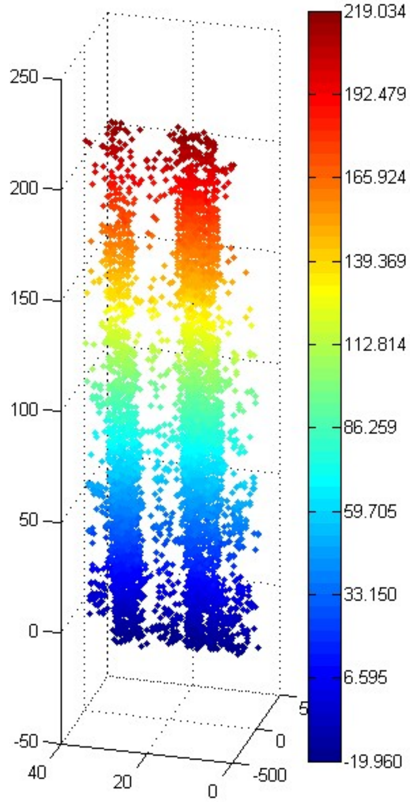
- Minimum Description Length (MDL)

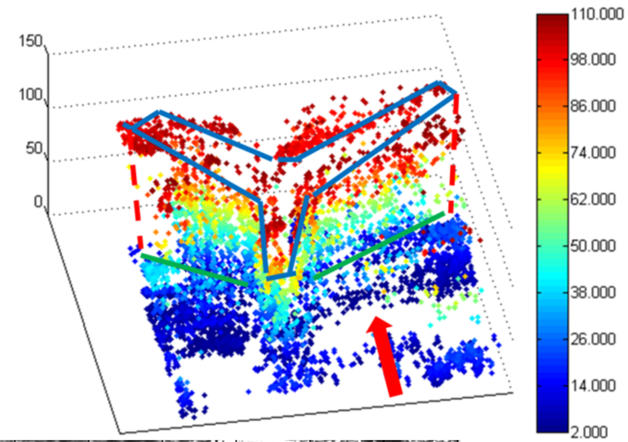
$$\hat{k} = \arg\min_{k} \left\{ -\ln p\left(y \middle| \hat{\theta}(k), k\right) + \frac{k}{2} \ln n \right\}$$

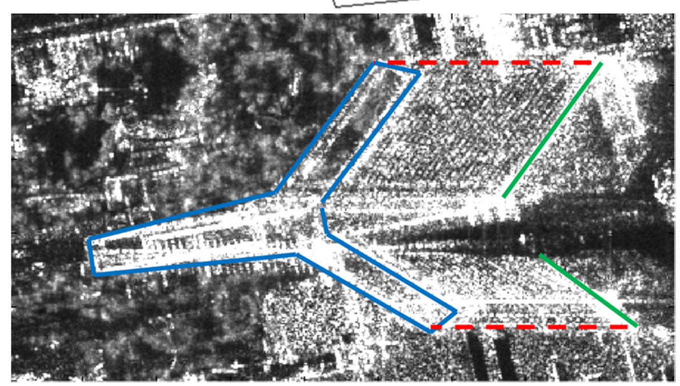
TomoSAR processing steps



3D scatterer reconstruction







Urban TomoSAR different methods

- SVD based methods
  - SVD
  - Truncated SVD (T-SVD)
  - Wiener SVD
  - Butterworth-SVD
- Compressive Sensing based methods
  - Basis Pursuit (BP)
  - TWIST

TWIST

Another solution to SAR tomography is by L1 norm minimization, which is also the core of compressive sensing:

$$g = K \cdot \gamma + \varepsilon$$

$$\min \|\gamma\|_1 \quad \text{subject to } g = K\gamma$$

The argument listed above can be stated by

$$\Psi(\gamma) = \arg\min_{\gamma} \left\{ \frac{1}{2} \|g - K\gamma\|_2^2 + \lambda_K \|\gamma\|_1 \right\}$$

where λ_k is a weighted value adjusted according to the noise level.

TWIST

- SAR tomography with compressive sensing
  - (see Zhu, Xiaoxiang's work in the references)
- Often, TomoSAR with compressive sensing is based on Basis Pursuit (BP)
  - Very high super-resolution
  - However, time-consuming
- Alternatively: Two-Step Iterative Shrinkage Thresholding (TWIST) for TomoSAR
  - Very efficient
  - Less super-resolution capability

TWIST

When using TWIST, the least squares fitting is needed to calculate γ_0

Calculate γ_1 according to

$$\gamma_1 = \Psi(\gamma_0 + K^T(g - K\gamma_0))$$

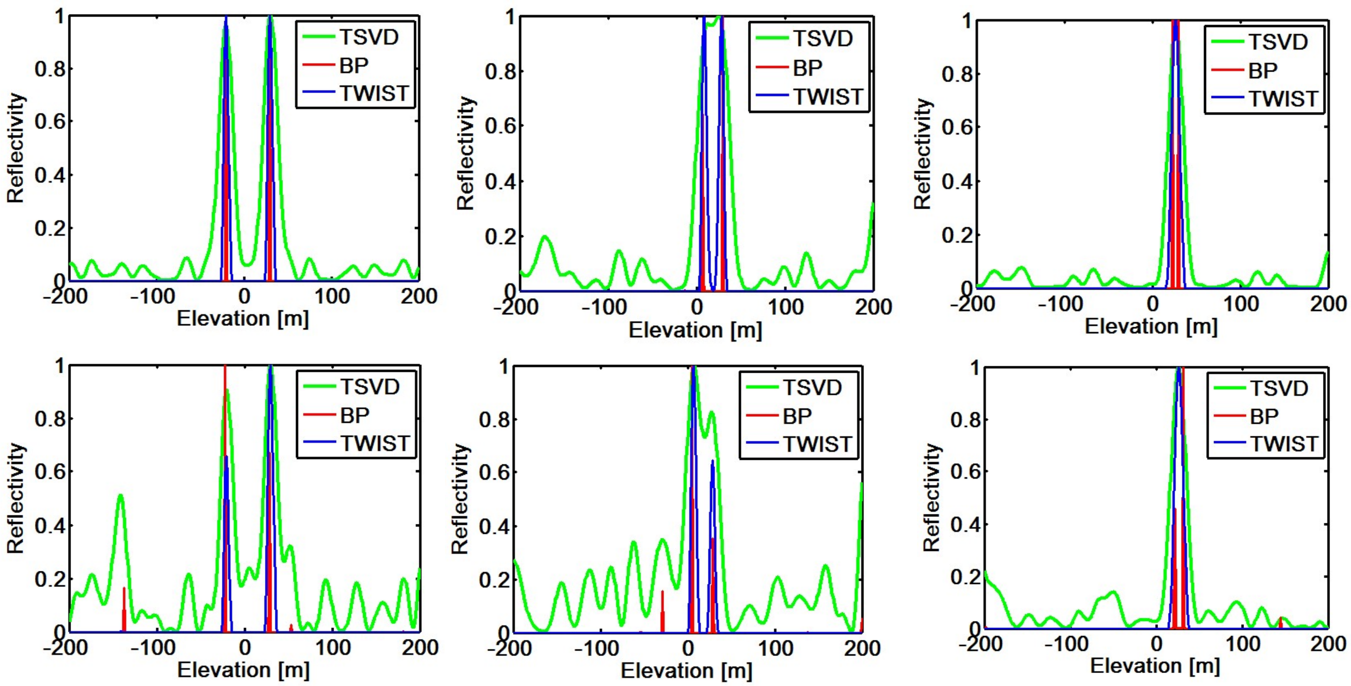
where Ψ is the normalization equation.

If $t \geq 1$

$$\gamma_{t+1} = (1-\alpha)\gamma_{t-1} + (\alpha - \beta)\gamma_t + \beta \cdot \Psi(\gamma_t + K^T(g - K\gamma_t))$$

in which, α, β are the weighting coefficients

TomoSAR: different methods

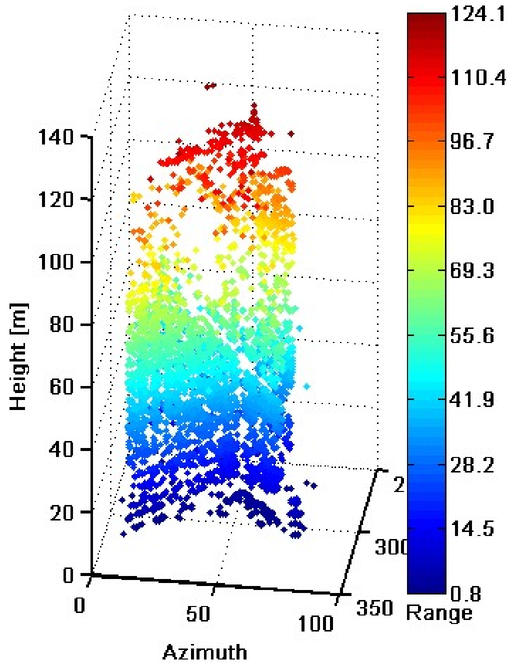


(a) s_Sc1=-20m, s_Sc2=30m;

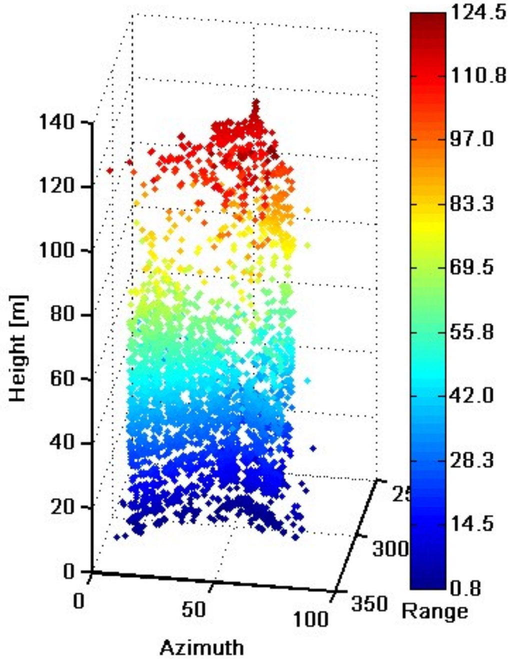
(b) s_Sc1=8m, s_Sc2=30m;

(c) s_Sc1=23m; s_Sc2=30m

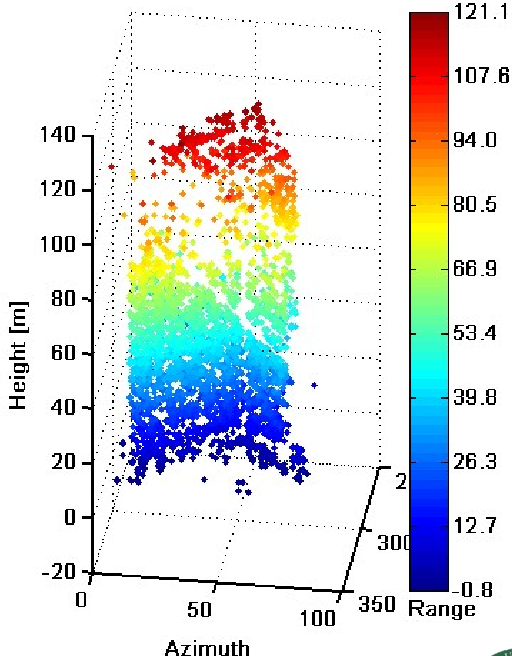
TSVD, Twist & BP



TSVD



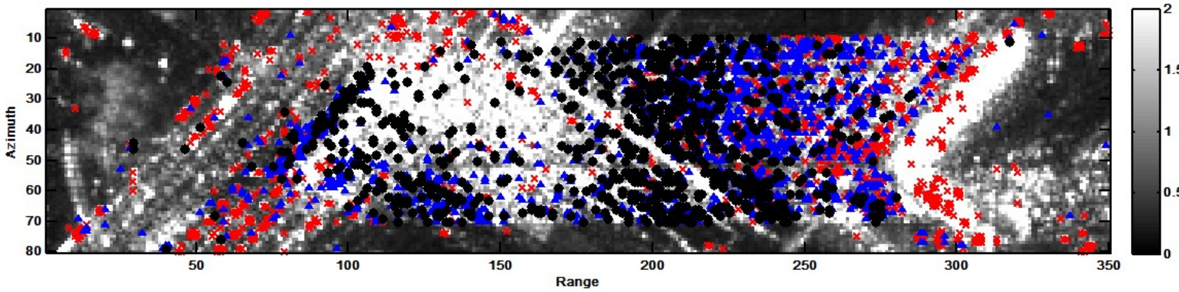
TWIST



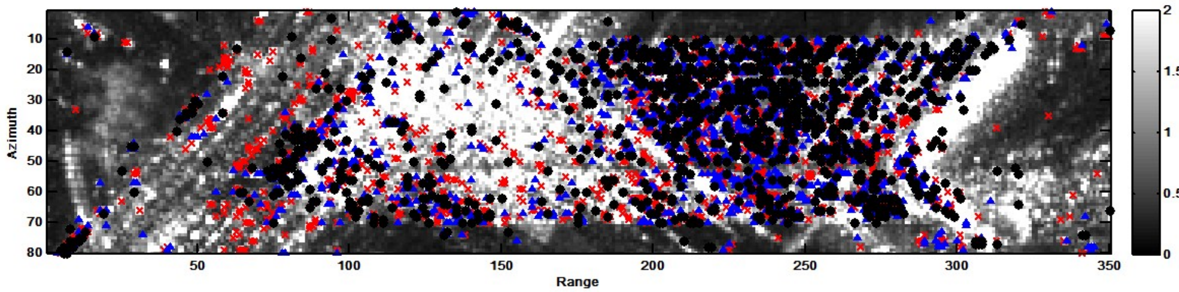
BP

TSVD, Twist & BP

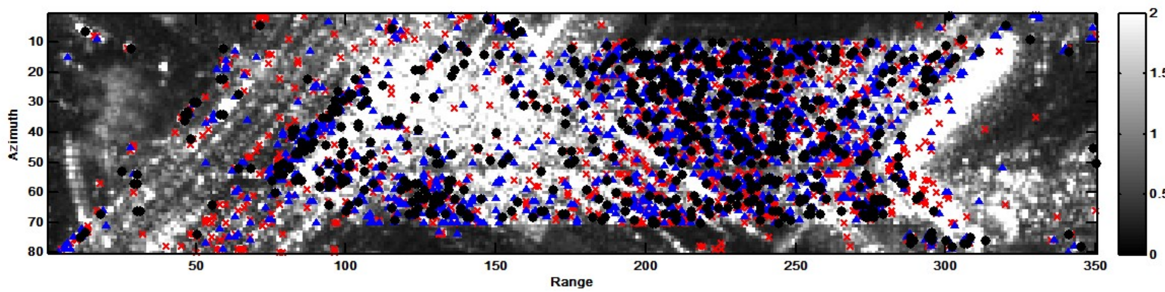
（a） TSVD
85 seconds



（b） TWIST
89 seconds



（c） BP
1378
seconds



red: single;
blue: double;
black: multi

Differential TomoSAR

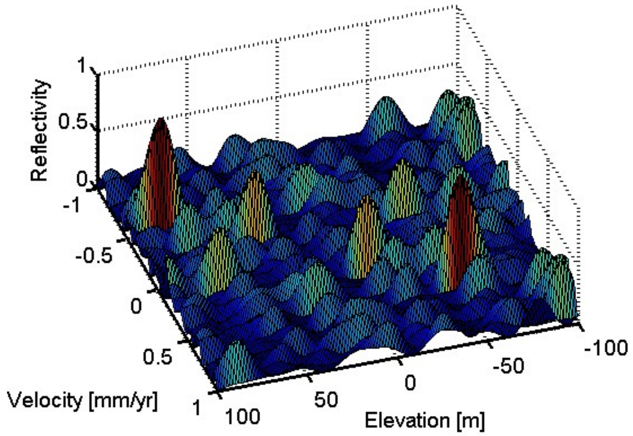
- Extend the model to the time-domain and include an estimation of the deformation
  - 4D TomoSAR

- Basically just an extension of the previously described method
  - Including an additional dimension for the focusing

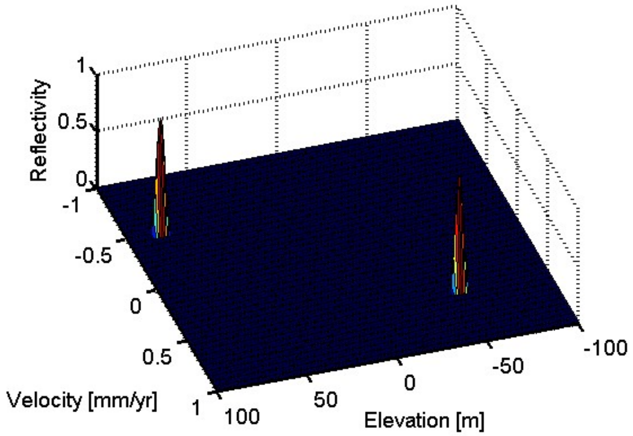
- Can be further extended by including seasonal motion
  - Sometimes called 5D TomoSAR

D-TomoSAR

Simulation results:



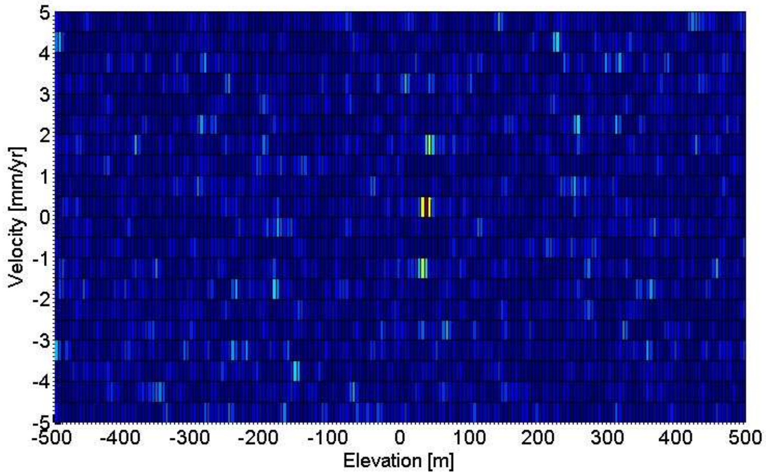
BSVD



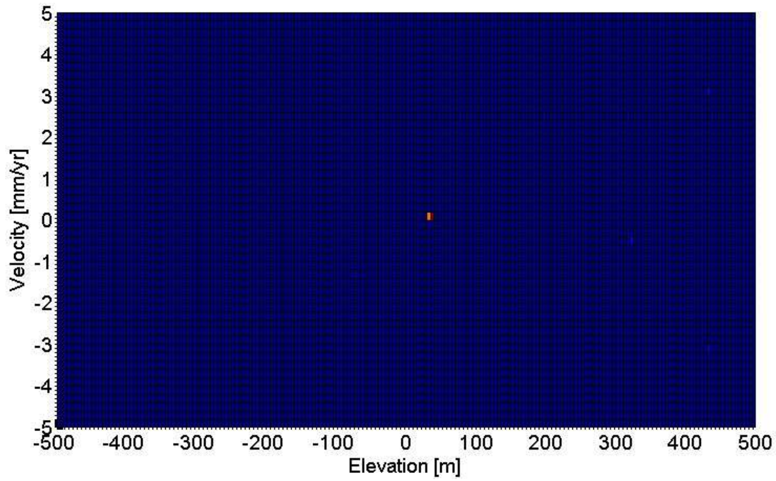
TWIST

= for D-TomoSAR, the noise suppression gets even more important

Example: TSX stack from Las Vegas:



BSVD



TWIST

= clear result in TWIST

Practical implementations

Due to the high computational demand for compressive sensing TomoSAR, the processing can be divided:

1. PS-InSAR for pre-processing – APS estimation
2. Basic TomoSAR processing for model estimation
3. Depending on the number of scatterers:
    - One scatterer per resolution cell: use PS-InSAR for processing
    - Two or more scatterer: use TomoSAR

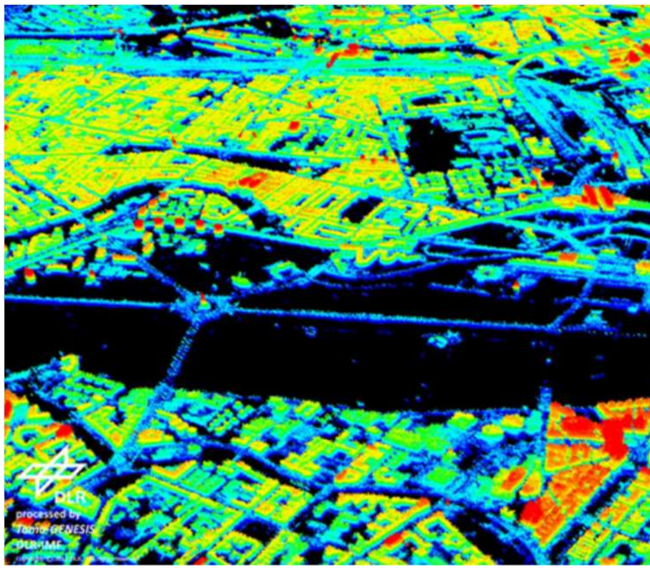
Geodetic SAR tomography

- Fusion of SAR imaging geodesy and TomoSAR

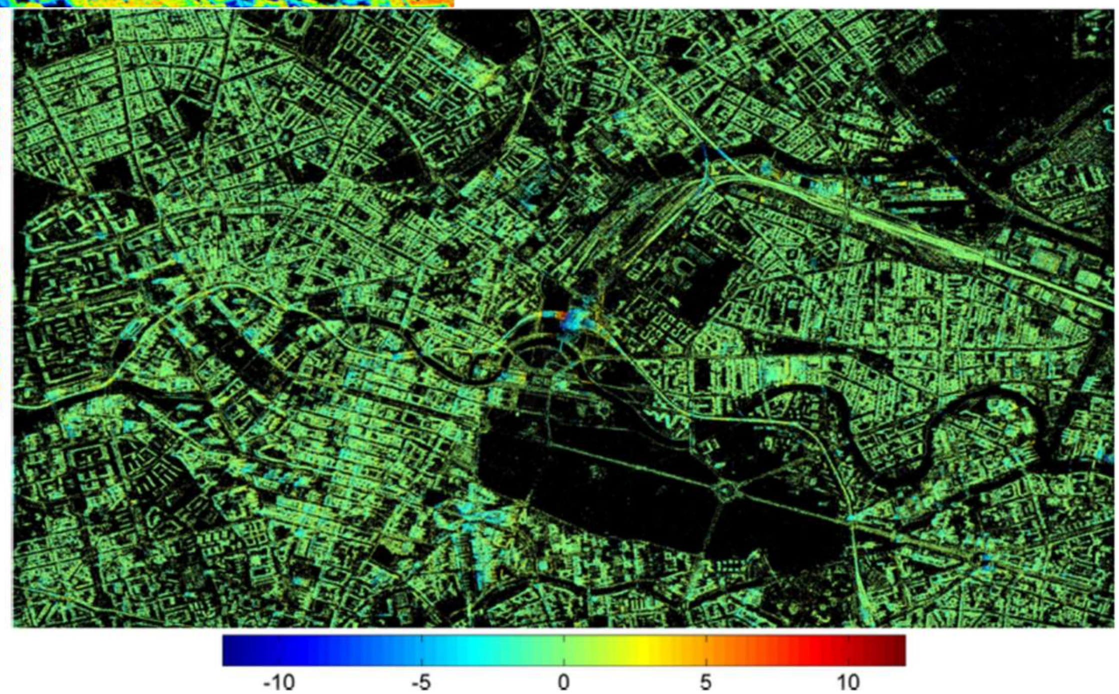
- SAR imaging geodesy:
  - Very high absolute geo-positioning capability of SAR
  - Especially with TerraSAR-X due to the very precise orbit
  - see Eineder et al, Cong et al, Balss et al….

- The fusion allows getting precise *absolute* 3D positions

Geodetic SAR tomography



from Zhu et al, 2016 – 3D absolute positioned TomoSAR point cloud from Berlin



from Zhu et al, 2016 – Amplitude of the seasonal motion derived from one stack

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Tomographic scene reconstruction

Assuming typical airborne or spaceborne MB geometries, SAR Tomography can be formulated according to one simple principle:

Each focused SLC SAR image is obtained as the Fourier Transform of the scene complex reflectivity along the cross-range coordinate

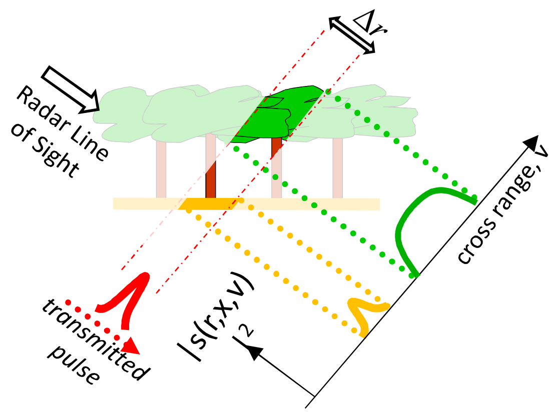
$$y_n(r,x) = \int s(r,x,v)\exp\left(-j\frac{4\pi}{\lambda r}b_n v\right)dv$$

$y_n(r,x)$: SLC pixel in the *n-th* image

$s(r,x,v)$: average complex reflectivity of the scene within the SAR 2D resolution cell at (r,x)

b_n : normal baseline for the *n-th* image

λ : carrier wavelength

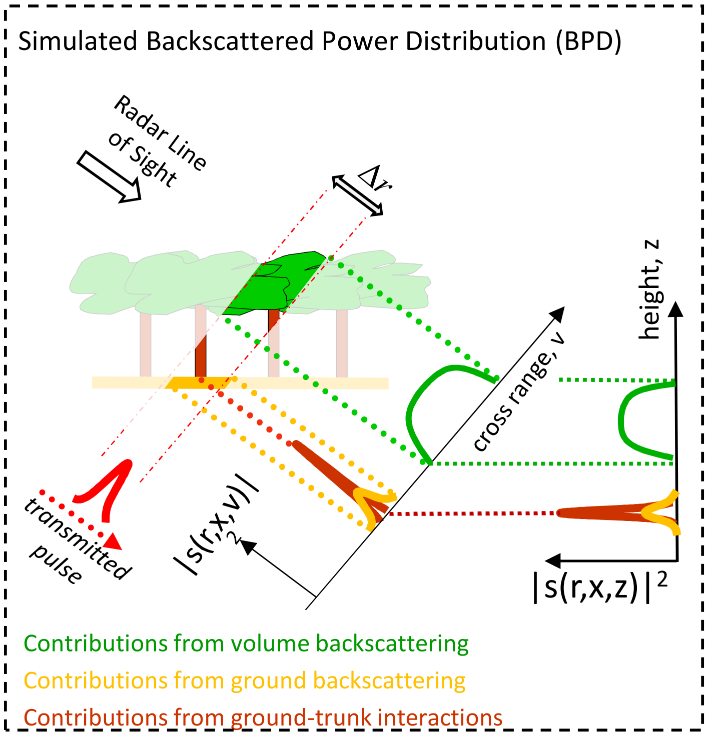


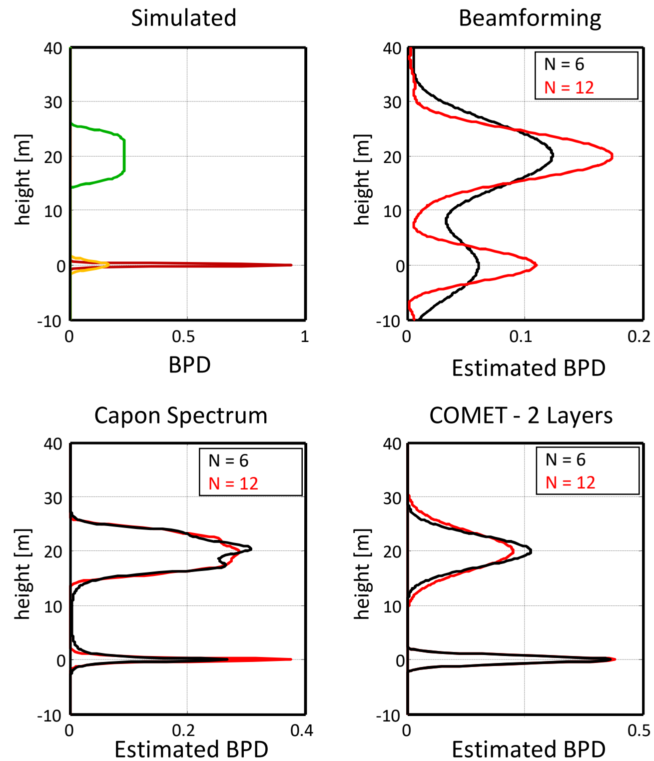
⇒ The cross-range distribution of the complex reflectivity can be retrieved through Fourier-based techniques

Source: Tebaldini & Rocca

Tomographic scene reconstruction

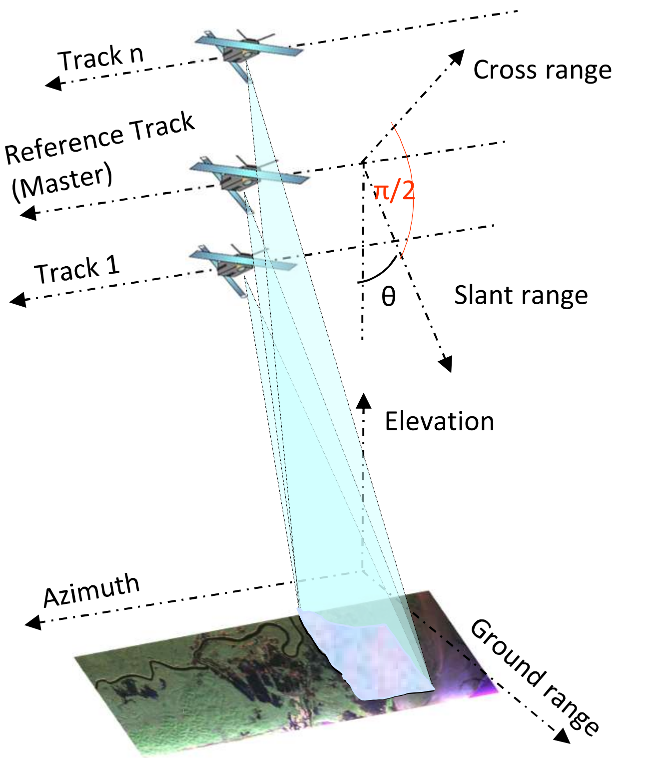
Example: Tomographic reconstruction of a forest scenario

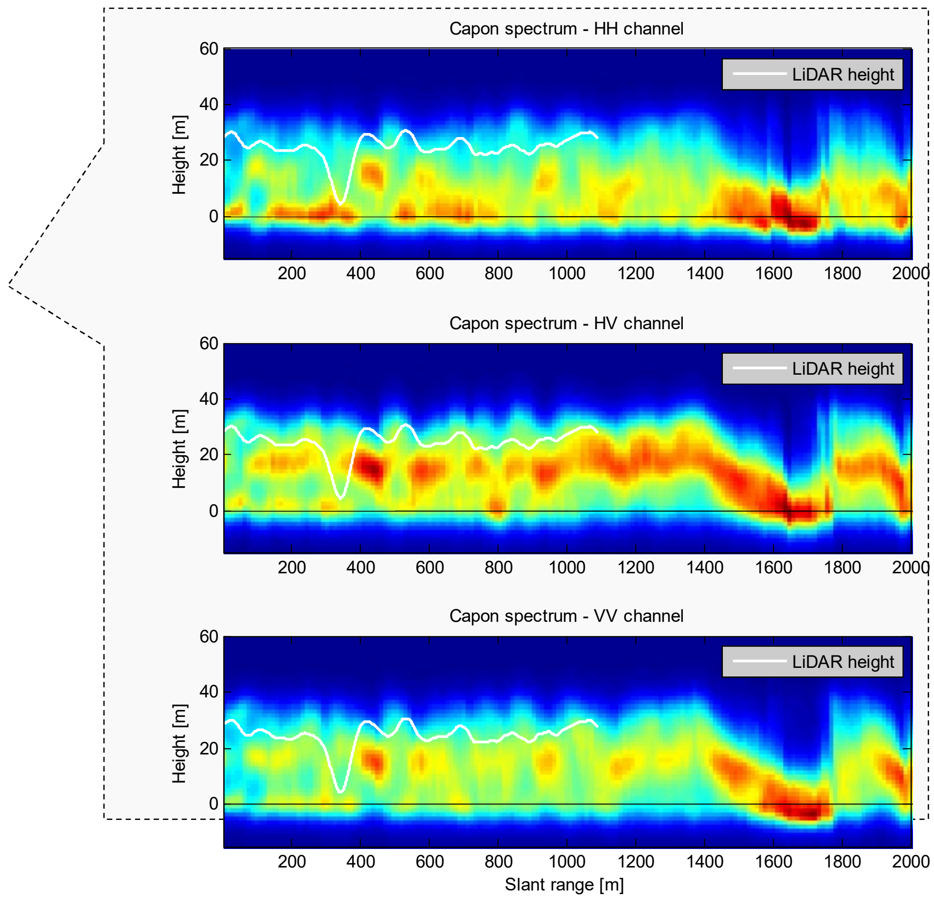




Source: Tebaldini & Rocca

BIOMASS tomographic phase

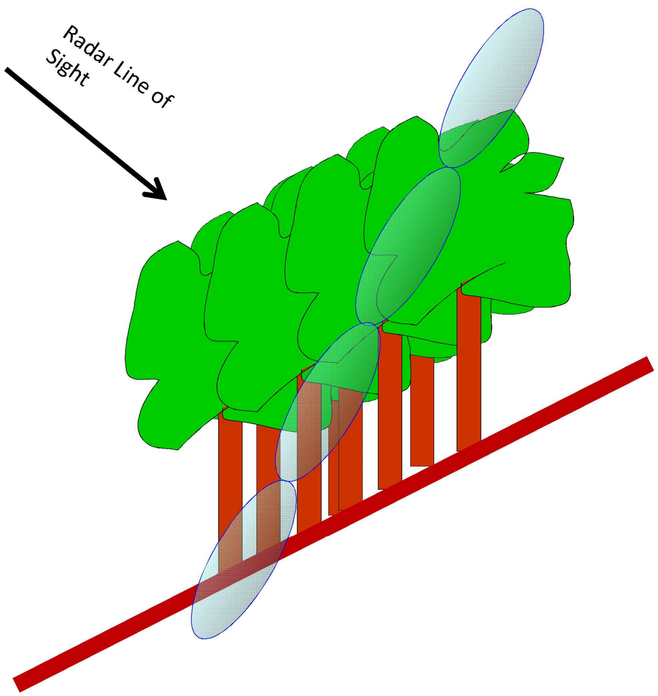




Source:
Tebaldini & Rocca

Tomographic analysis: TropiSAR

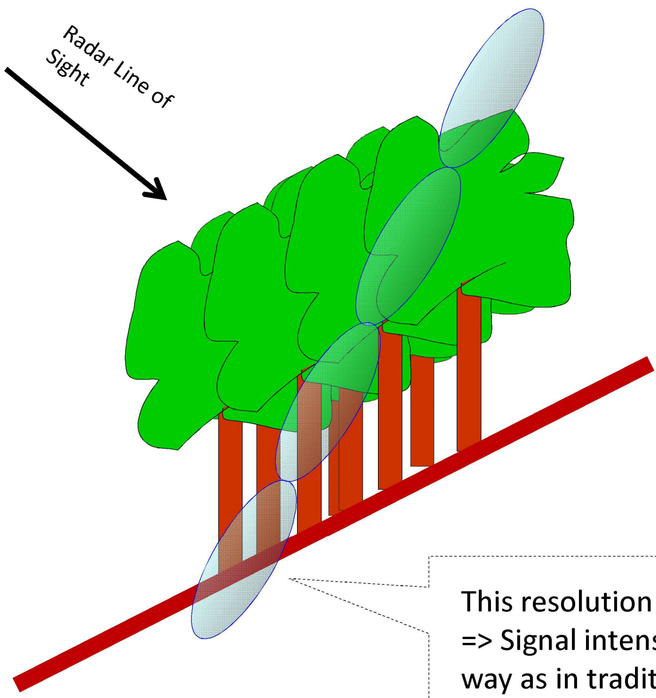
A closer look…



Source: Rocca

Tomographic analysis: TropiSAR

A closer look…

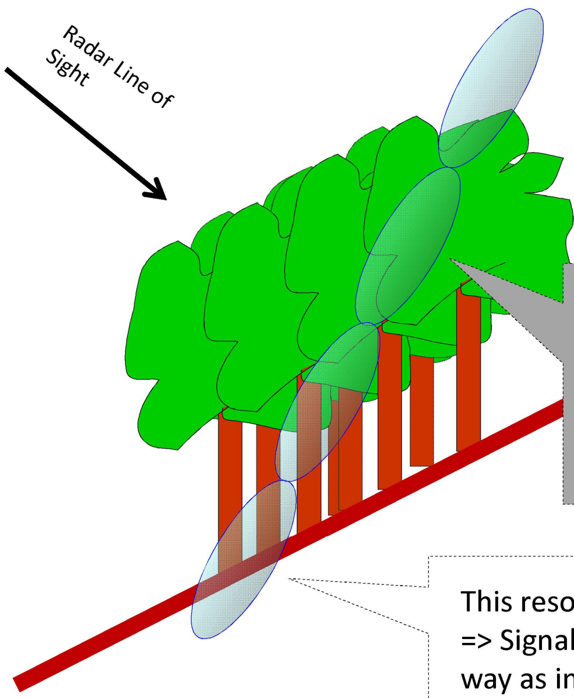


This resolution cell gathers contributions from terrain only.
=> Signal intensity in this cell is affected by terrain slope the same way as in traditional SAR images of bare surfaces

Source: Rocca

Tomographic analysis: TropiSAR

A closer look…



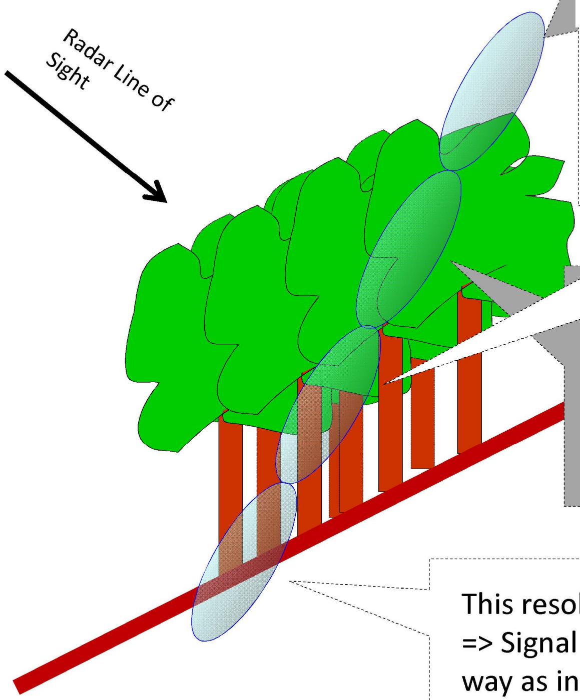
This cell is completely within the volume layer, independently on volume orientation w.r.t. the Radar LOS.
=> Signal intensity in this cell is independent of terrain slope

This resolution cell gathers contributions from terrain only.
=> Signal intensity in this cell is affected by terrain slope the same way as in traditional SAR images of bare surfaces

Source: Rocca

Tomographic analysis: TropiSAR

A closer look…



The scattering volume within cells at the boundaries of the vegetation layer depends on volume orientation w.r.t. the Radar LOS.
=> Signal intensity in this cell is affected by terrain slope in a similar way as the cell corresponding to the ground layer.

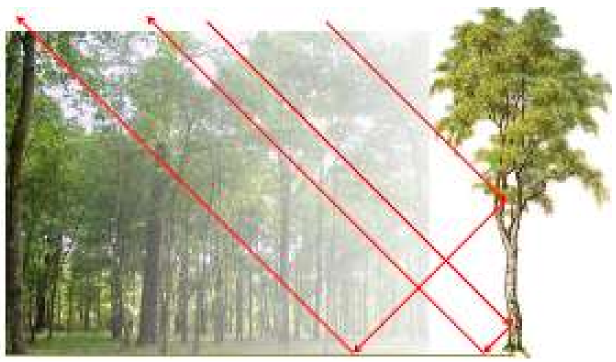
This cell is completely within the volume layer, independently on volume orientation w.r.t. the Radar LOS.
=> Signal intensity in this cell is independent of terrain slope

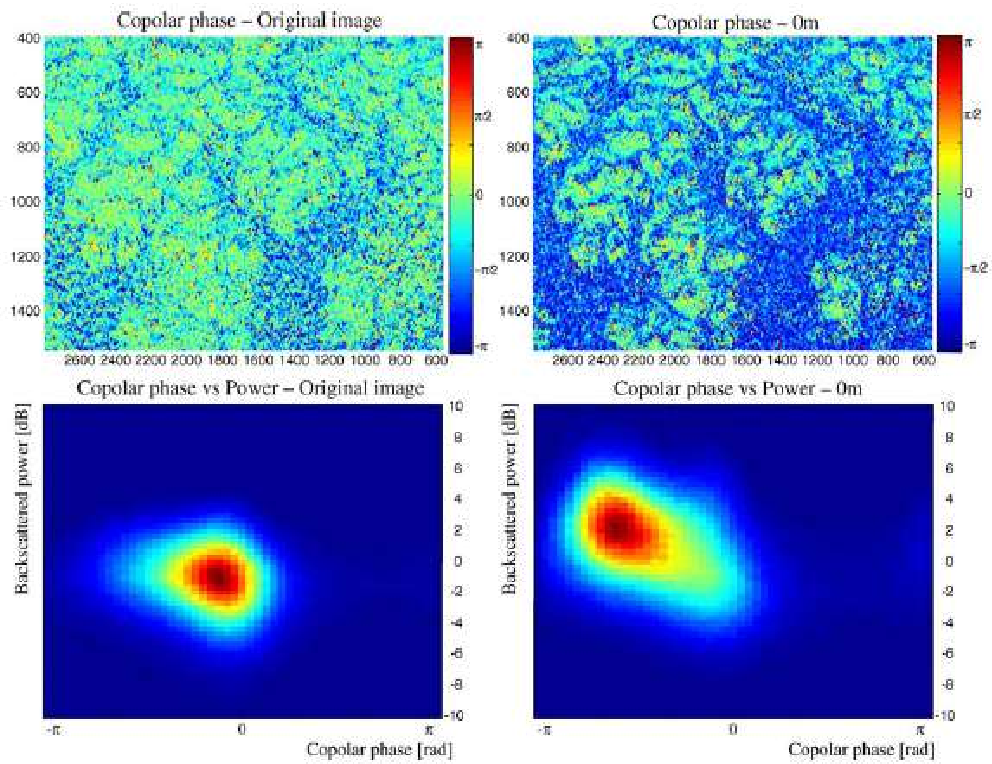
This resolution cell gathers contributions from terrain only.
=> Signal intensity in this cell is affected by terrain slope the same way as in traditional SAR images of bare surfaces

Source: Rocca

Tomographic analysis: TropiSAR

Co-polar signature at the ground layer reveals ground-trunk double bounce interactions dominate the signal from flat areas *despite* the presence of a 40 m dense tropical forest





Source: Rocca

Towards BIOMASS

- **The scattering mechanisms at P-band in a very dense tropical forest:**

  Ground scattering is strongly visible and double bounces in flat terrain topography are visible everywhere.

  Volume scattering is significantly related to the high range biomass

- **It was found that scattering contributions from about 30 m above ground exhibit high sensitivity to forest biomass value ranging *from 250 t/ha to 450 t/ha*.**

- **SAR tomography** allows to map not only **vertical forest structure** but also **biomass.**

Forest temporal decorrelation

P-band SAR tomography

key tool to *SEE* through the forest

suitable long wavelength to penetrate the dense forest

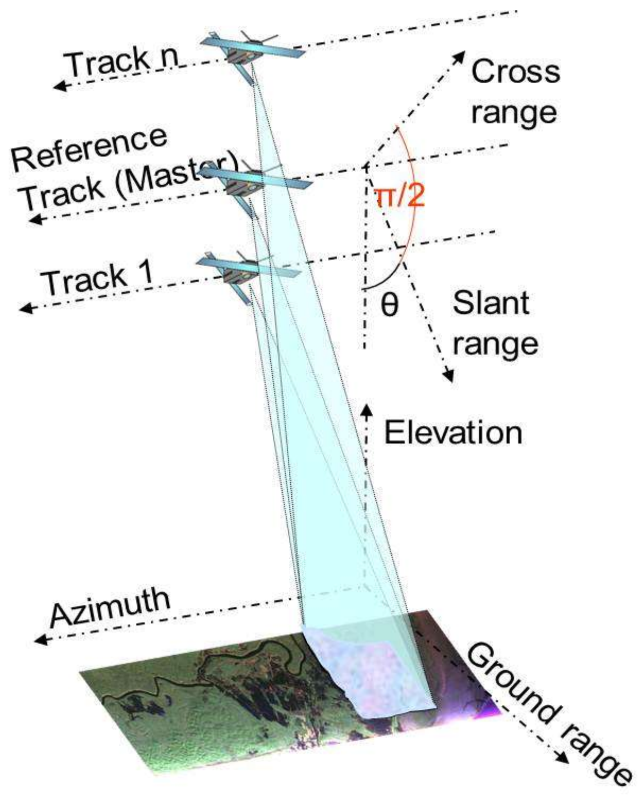
layer

key indicator to tropical forest biomass

Orbit constraint: temporal decorrelation

Revisit time ≥ 1 day in a sun synchronous satellite configuration

Forest scattering changes with time



GOAL: Study the temporal decorrelation of scattering mechanisms of the radar signal in a tropical forest as a function of height and polarization.

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Questions?

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