



# Processing Sentinel3-A/B OLCI Data

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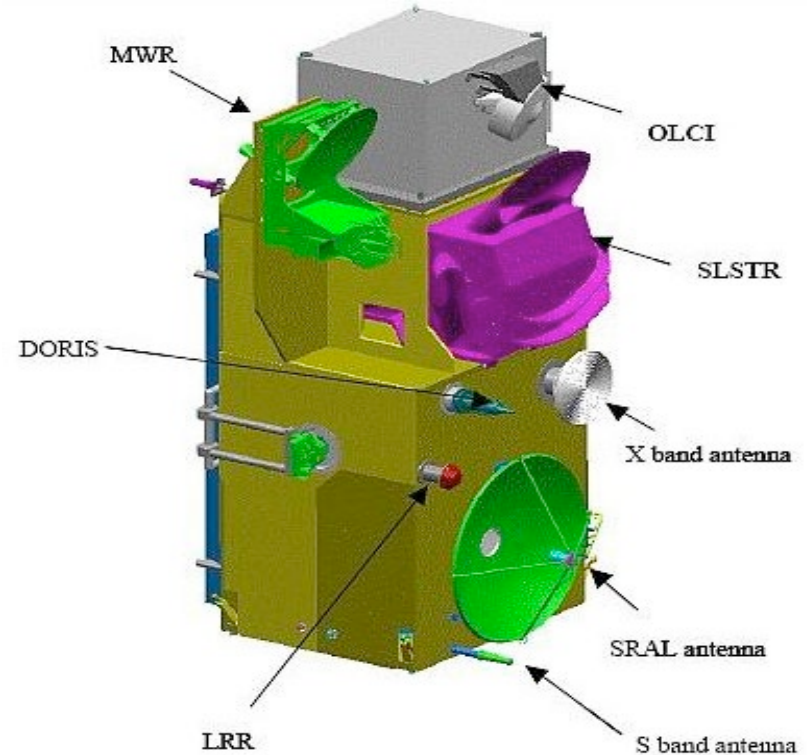
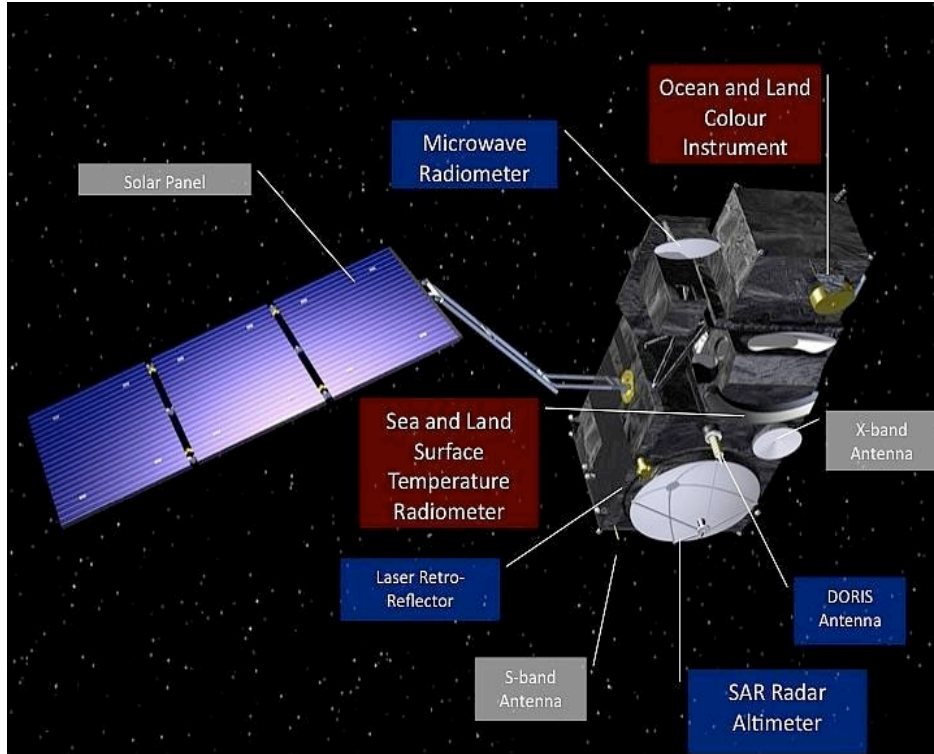
Prepared by Daniel Odermatt<sup>1</sup>, Ana B. Ruescas<sup>2,3</sup> and Juan C. Jimenez-Muñoz<sup>3</sup>

Updated by Lichun Wang

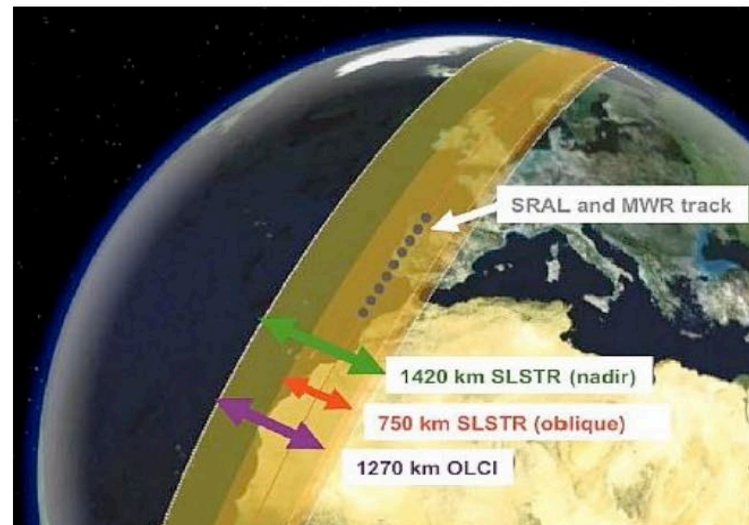
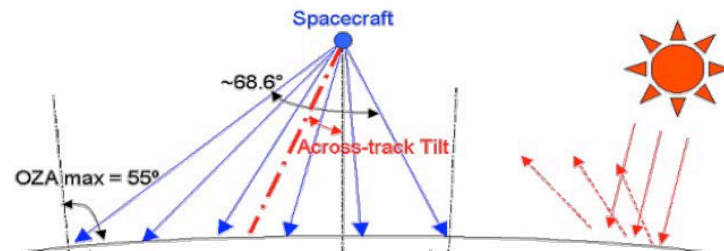
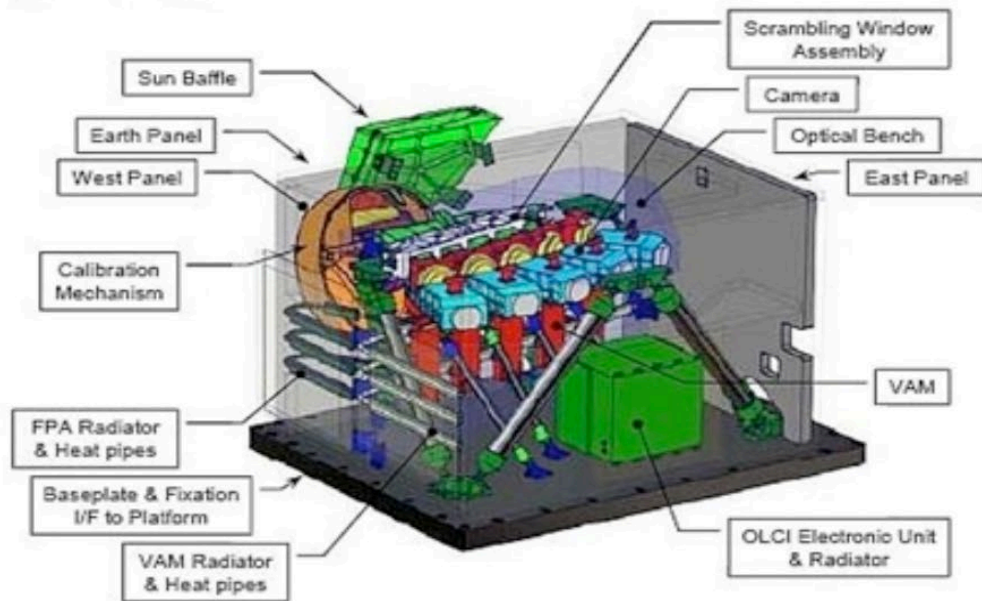
1 Odermatt & Brockmann (Germany) 2 Brockmann Consult (Germany) 3 Image Processing Laboratory (UV, Spain)



# Sentinel-3 Sensors



# Ocean and Land Colour Instrument: OLCI



Images credit: ESA



# Ocean and Land Colour Instrument: OLCI



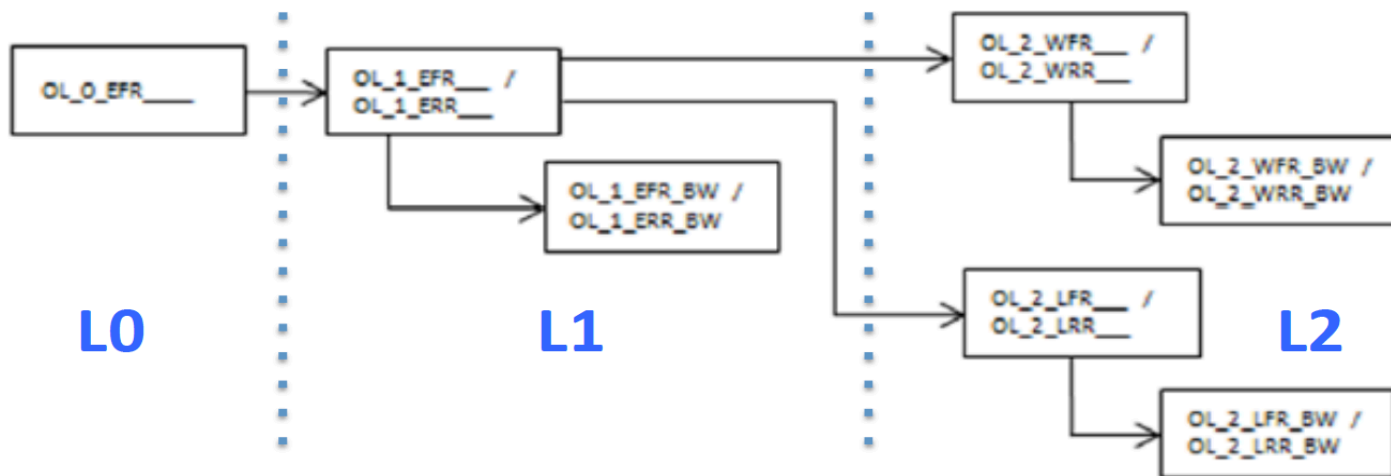
Swath	1 440 km
SSI at SSP (km)	300 m
Calibration	MERIS type calibration arrangement with spectral calibration using a doped Erbium diffuser plate, PTFE diffuser plate and dark current plate viewed approximately every 2 weeks at the South Pole ecliptic. Spare diffuser plate viewed periodically for calibration degradation monitoring
Detectors	ENVISAT MERIS heritage back-illuminated CCD55-20 frame-transfer imaging device (780 columns by 576 row array of 22.5 $\mu\text{m}$ square active elements).
Optical scanning design	Push-broom sensor. Five cameras recurrent from MERIS dedicated Scrambling Window Assembly (SWA) supporting five Video Acquisition Modules (VAM) for analogue to digital conversion
Spectral resolution	1.25 nm (MERIS heritage), 21 bands.
Radiometric accuracy	< 2% with reference to the sun for the 400-900 nm waveband and < 5% with reference to the sun for wavebands > 900 nm. 0.1% stability for radiometric accuracy over each orbit and 0.5% relative accuracy for the calibration diffuser BRDF.
Radiometric resolution	< 0.03 $\text{W m}^{-2} \text{sr}^{-1} \text{mm}^{-1}$ (MERIS baseline)
Mass	150 kg
Size	1.3 $\text{m}^3$
Design lifetime	7.5 years

MERIS Bands	$\lambda$ center	Width
Yellow substance/detrital pigments	412.5	10
Chl. Abs. Max	442.5	10
Chl & other pigments	490	10
Susp. Sediments, red tide	510	10
Chl. Abs. Min	560	10
Suspended sediment	620	10
Chl. Abs, Chl. fluorescence	665	10
Chl. fluorescence peak	681.25	7.5
Chl. fluorescence ref., Atm. Corr.	708.75	10
Vegetation, clouds	753.75	7.5
O <sub>2</sub> R-branch abs.	761.25	2.5
O <sub>2</sub> P-branch abs.	778.75	15
Atm corr	865	20
Vegetation, H <sub>2</sub> O vap. Ref.	885	10
H <sub>2</sub> O vap., Land	900	10
New OLCI bands	$\lambda$ center	Width
Aerosol, in-water property	400	15
Fluorescence retrieval	673.75	7.5
Atmospheric parameter	764.375	3.75
Cloud top pressure	767.5	2.5
Atmos./aerosol correction	940	20
Atmos./aerosol correction	1020	40

Band #	$\lambda$ center	Width
	nm	nm
Oa1	400	15
Oa2	412.5	10
Oa3	442.5	10
Oa4	490	10
Oa5	510	10
Oa6	560	10
Oa7	620	10
Oa8	665	10
Oa9	673.75	7.5
Oa10	681.25	7.5
Oa11	708.75	10
Oa12	753.75	7.5
Oa13	761.25	2.5
Oa14	764.375	3.75
Oa15	767.5	2.5
Oa16	778.75	15
Oa17	865	20
Oa18	885	10
Oa19	900	10
Oa20	940	20
Oa21	1020	40



# OLCI product types



## LEVEL 0

EFR Earth observation Full Resolution

## LEVEL 1

EFR Earth observation Full Resolution (calibrated)

ERR Earth observation Reduced Resolution (cal.)

EFR\_BW EFR browse product

## LEVEL 2

WFR Water and atmosphere Full Resolution

WFR\_BW WFR Browse Product

WRR Water and atmosphere Reduced Res.

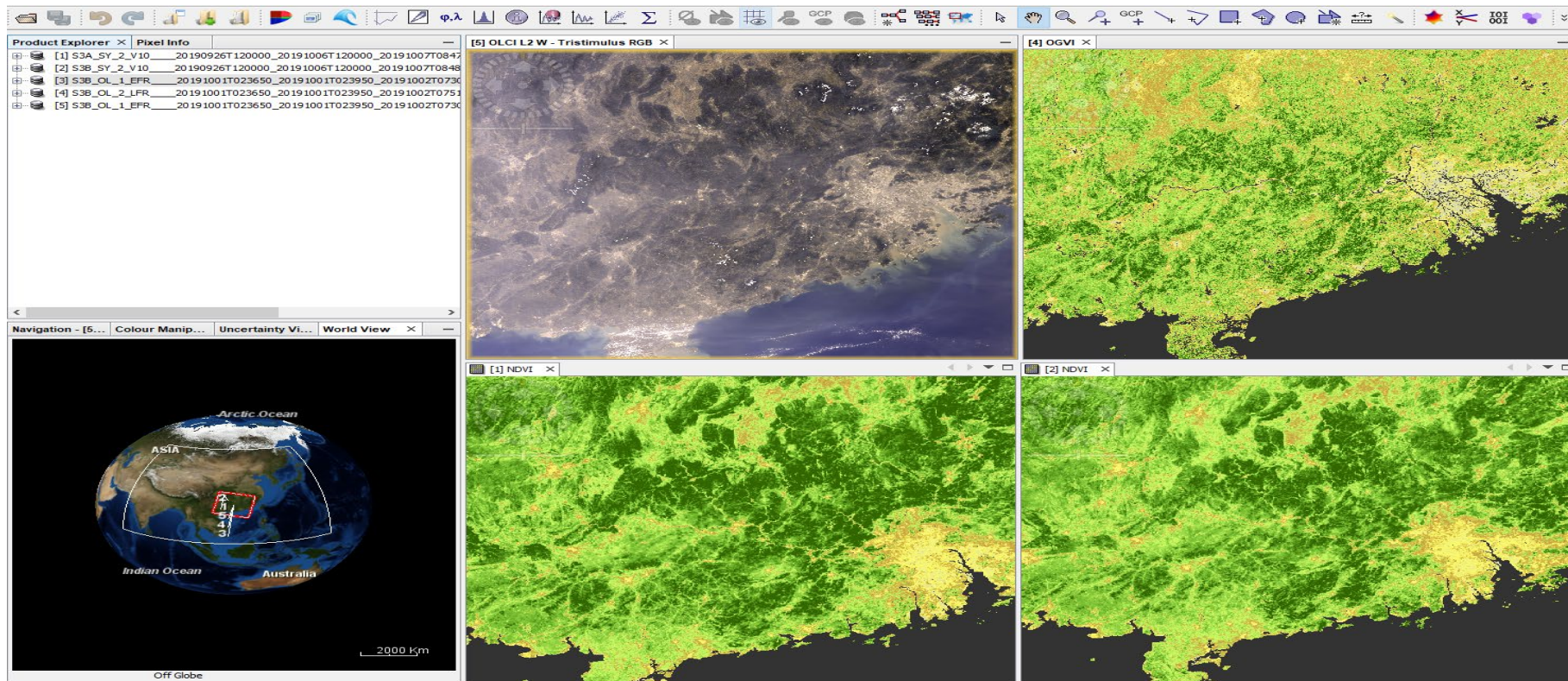
LFR Land Full Resolution

LRR Land Reduced Resolution

Etc.



# Example: Sentinel-3A/B products



- Goal: Processing S3-A/B OLCI data for estimating emissivity using NDVI-THM.
- Source: Sobrino et al. (2008, 2016)
- Procedure:
  - Basic image visualization and manipulation tasks
  - OLCI L1 TOA radiance to reflectance conversion
  - OLCI L1/L2 product collocation
  - Emissivity calculation using the (NDVI)Thresholds Method
  - Spatial and temporal changes of NDVI (S3-SY-NDVI) using time series analysis tools

- Sentinel-3 user guide:

*<https://sentinels.copernicus.eu/web/sentinel/user-guides/sentinel-3-olci>*



The emissivity,  $\epsilon$ , at a given wavelength  $\lambda$  (units,  $\mu\text{m}$ ) and temperature  $T$  (units, K), is defined as the ratio of the radiance  $R_\lambda(T)$  emitted by a body at temperature  $T$  and the radiance  $B_\lambda(T)$  emitted by a black body at the same temperature  $T$ , that is,

$$\epsilon_\lambda(T) = \frac{R_\lambda(T)}{B_\lambda(T)}, \quad (1) \quad (1)$$

where  $B_\lambda(T)$  refers to Planck's law, which is defined as

$$B_\lambda(T) = \frac{C_1 \lambda^{-5}}{\exp(C_2/\lambda T) - 1}, \quad (2) \quad (2)$$

in which  $C_1$  and  $C_2$  are constants ( $C_1 = 1.191 \times 10^8 \text{ W } \mu\text{m}^4 \text{ sr}^{-1} \text{ m}^{-2}$ ,  $C_2 = 1.439 \times 10^4 \mu\text{m K}$ ).

Land surface emissivity retrieval from satellite data; Li et al., 2013, IJRS, <http://dx.doi.org/10.1080/01431161.2012.716540>

# (NDVI)Thresholds Method



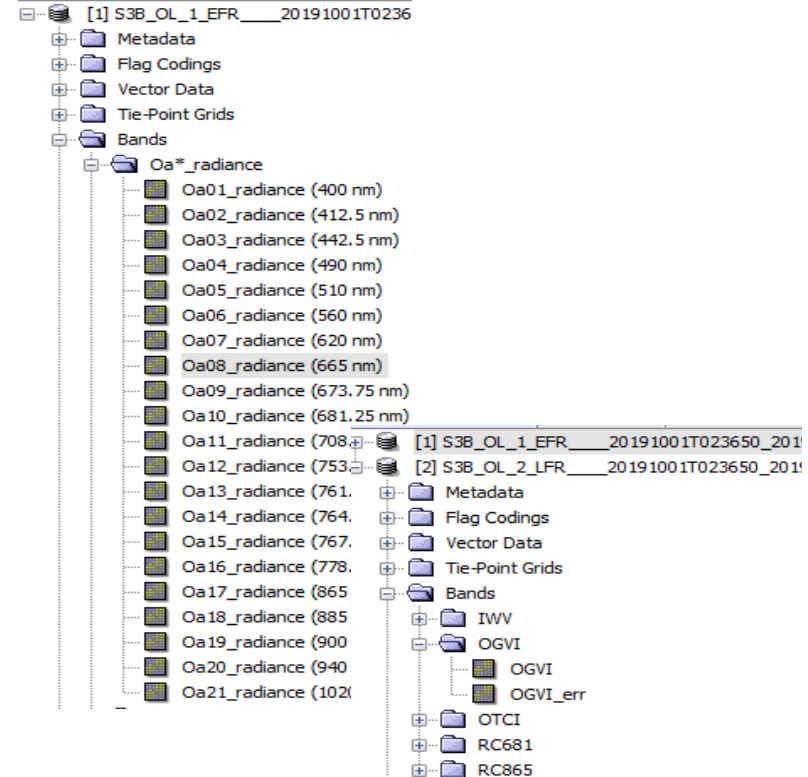
A simple method using OLCI data in the visible and near infrared bands (b8 and b9), which considers three type of pixels depending on the NDVI value:

$$\begin{aligned} \text{NDVI} < \text{NDVI}_s &: \quad \varepsilon = a + b\rho_{\text{red}} \\ \text{NDVI}_s \leq \text{NDVI} \leq \text{NDVI}_v &: \quad \varepsilon = \varepsilon_s(1 - P_v) + \varepsilon_v P_v + C \\ \text{NDVI} > \text{NDVI}_v &: \quad \varepsilon = 0.99 \end{aligned}$$

where  $\rho_{\text{red}}$  is the reflectance at the red band,  $\varepsilon_s =$  and  $\varepsilon_v =$  are reference values of surface emissivity for soil and vegetation, respectively.  $P_v$  is the fractional vegetation cover, which can be obtained from the scaled NDVI, given by

$$P_v = \frac{\text{NDVI} - \text{NDVI}_s}{\text{NDVI}_v - \text{NDVI}_s}$$

Where  $\text{NDVI}_s=0.15$  and  $\text{NDVI}_v=0.9$  are reference values of NDVI for bare soil pixels and fully vegetation pixels



## Sentinel-3B L1 and L2 images

*S3B\_OL\_1\_EFR\_\_\_20191001T023650\_20191001T023950\_20191002T073016\_0179\_030\_260\_2520\_LN1\_O\_NT\_002.SEN3*

*S3B\_OL\_2\_LFR\_\_\_20191001T023650\_20191001T023950\_20191002T075103\_0179\_030\_260\_2520\_LN1\_O\_NT\_002.SEN3*

## Sentinel-3A L1 and L2 images

*S3A\_OL\_1\_EFR\_\_\_20181005T023514\_20181005T023814\_20181006T062411\_0179\_036\_260\_2520\_LN1\_O\_NT\_002.SEN3*

*S3A\_OL\_2\_LFR\_\_\_20181005T023514\_20181005T023814\_20181006T064358\_0179\_036\_260\_2520\_LN1\_O\_NT\_002.SEN3*

## Sentinel-3A/3B Synergy NDVI products

*S3B\_SY\_2\_V10\_\_\_20190726T163346\_20190805T163346\_20190815T172025\_SOUTH\_EAST\_ASIA\_\_\_LN2\_O\_NT\_002.SEN3*

*S3B\_SY\_2\_V10\_\_\_20190825T163346\_20190904T163346\_20190914T172004\_SOUTH\_EAST\_ASIA\_\_\_LN2\_O\_NT\_002.SEN3*

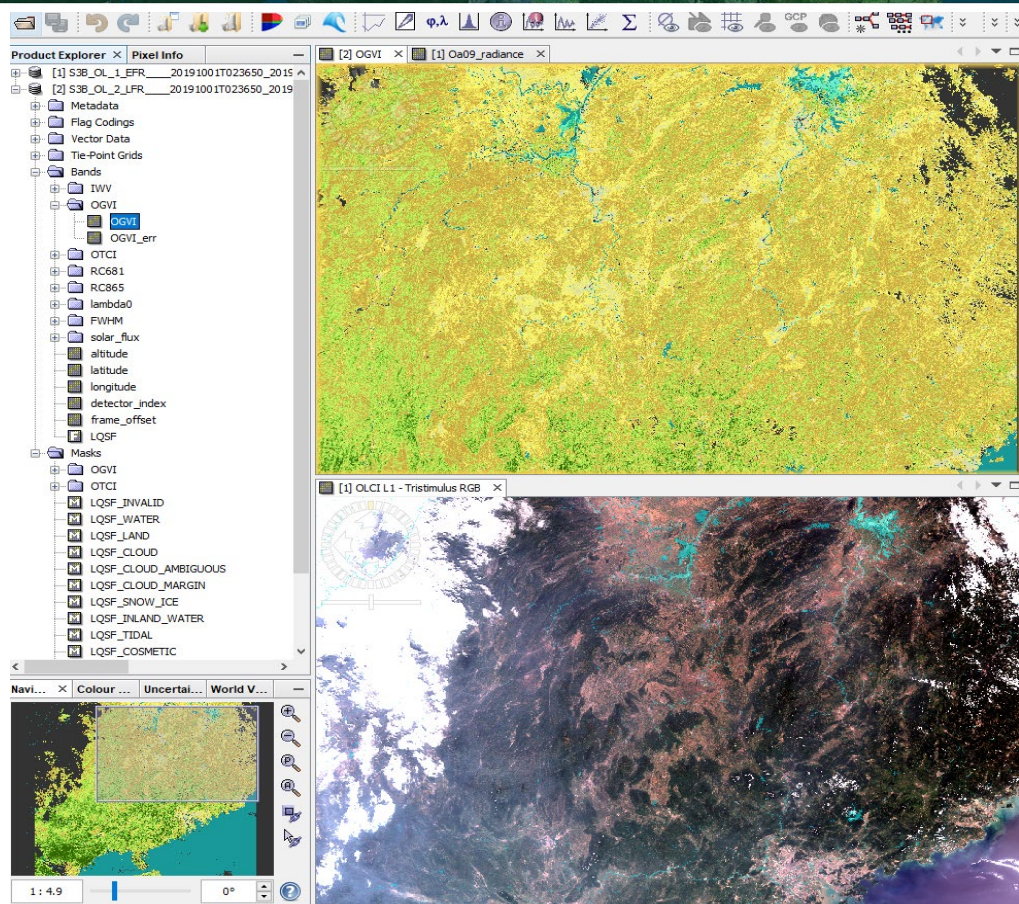
*S3B\_SY\_2\_V10\_\_\_20190926T120000\_20191006T120000\_20191007T084850\_SOUTH\_EAST\_ASIA\_\_\_LN2\_O\_ST\_002.SEN3*

Data downloadable at <https://scihub.copernicus.eu>

# Exploring S3A/B OLCI L-1/L-2 data:



- From the folder *products exercise*, open the scene:  
"S3B\_OL\_1\_EFR\_\_\_\_20191001T023650\_20191001T023950\_20191002T073016\_0179\_030\_260\_2520\_LN1\_O\_NT\_002"
- Create and visualize an RGB composition image (*Red*: Oa08\_Radiance; *Green*: Oa06\_Radiance; *Blue*: Oa04\_Radiancd).
- Stretch the histogram for a better visualization in the *Colour Manipulation* window
- View image bands and check the spatial resolution for the radiance bands
- Open and explore OCLI L2 product



# Spatial Subset



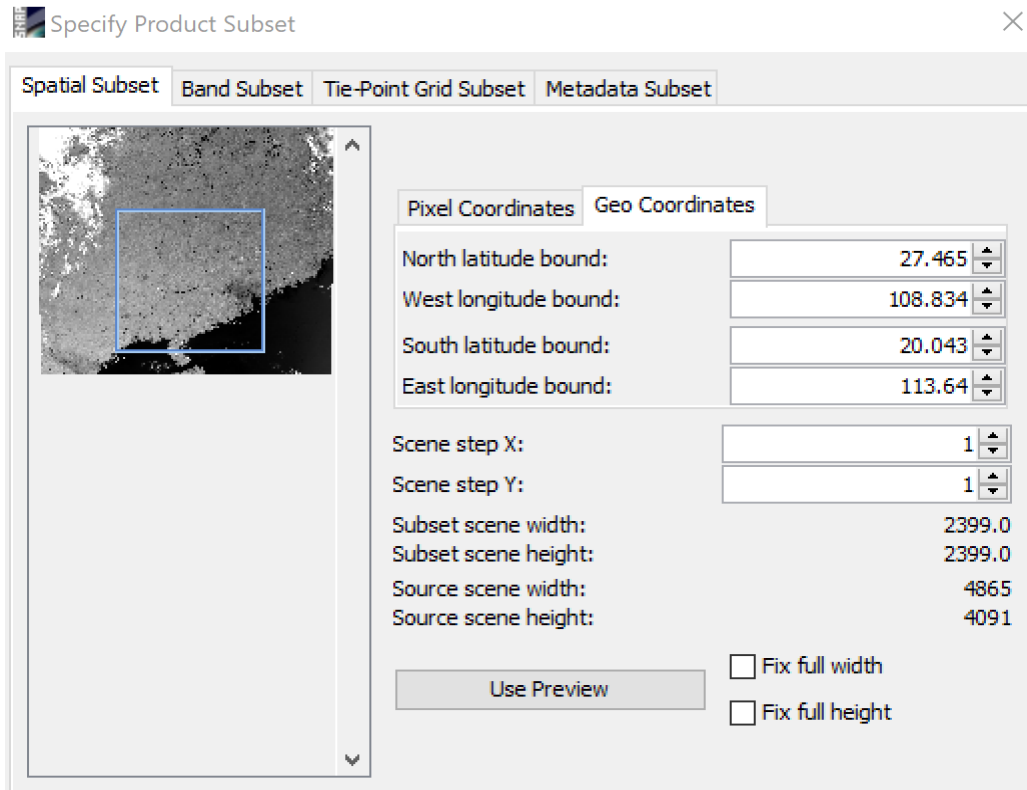
Reduce the spatial extent to focus on the study area for **OC LI L1 & L2** products:

Specify 'Spatial Subset' parameters (as shown in Figure )

Save the newly created subset images:

➤ *subset\_0\_of\_S3B\_OL\_1\_EFR\_\_\_\_20191001T023650\_20191001T023950\_20191002T073016\_0179\_030\_260\_2520\_LN1\_O\_NT\_002.d*  
*im*

➤ *subset\_0\_of\_S3B\_OL\_2\_LFR\_\_\_\_20191001T023650\_20191001T023950\_20191002T075103\_0179\_030\_260\_2520\_LN1\_O\_NT\_002.d*  
*im*



# Radiance to Reflectance



From the folder *products exercise*, open the newly created OCLI L1 subset product:

"subset\_0\_of\_S3B\_OL\_1\_EFR\_\_\_\_20191001T023650\_20191001T023950\_20191002T073016\_0179\_030\_260\_2520\_LN1\_O\_NT\_002.dim"

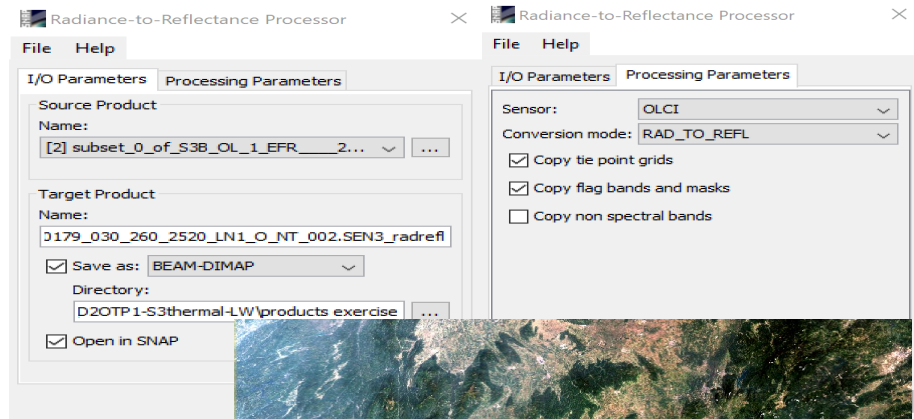
In the *Optical* label click on *Preprocessing/Radiance-to-Reflectance Processor*:

$$R_{TOA}(\lambda) = \frac{\pi L_{TOA}(\lambda)}{E_0(\lambda) \cos(\theta)}$$

Specify 'Processing Parameters' (as shown in Figure)

Save the output product as:

"subset\_0\_of\_S3B\_OL\_1\_EFR\_\_\_\_20191001T023650\_20191001T023950\_20191002T073016\_0179\_030\_260\_2520\_LN1\_O\_NT\_002\_radrefl.dim"



# Open RGB window using band 8,6,4



`subset_0_of_S3B_OL_1_EFR_____20191001T023650_20191001T023950_20191002T073016_0179_030_260_2520_LN1_O_NT_002.SEN3_radrefl.dim`  
`subset_0_of_S3B_OL_2_LFR_____20191001T023650_20191001T023950_20191002T075103_0179_030_260_2520_LN1_O_NT_002.dim`

The screenshot displays the Product Explorer software interface. On the left, the Product Explorer tree shows the loaded data files. The main area contains four windows:

- [5] OGVI**: A false-color composite image of the region, showing vegetation in green and urban areas in yellow.
- [3] OLCI L2 W - Tristimulus RGB**: The original RGB satellite image.
- [4] OLCI L1 - Tristimulus RGB**: The same RGB image with a 'Guangzhou' label and a color ramp for the vegetation index.
- [3] Oa08\_reflectance**: A grayscale image showing the reflectance data.

The interface also includes a Navigation toolbar, a Colour ramp editor, and a Display range section.



# OLCI L1/L2 Collocation



Use the collocation tool to group the L1 (\*\_radrefl) and L2 OLCI bands in one product with the same spatial resolution and geo-location

## *Raster/Geometric Operations/Collocation*

Master file:

```
subset_0_of_S3B_OL_1_EFR____20191001T023650_20191001T023950_20191002T073016_0179_030_260_2520_LN1_O_NT_002_radrefl.dim
```

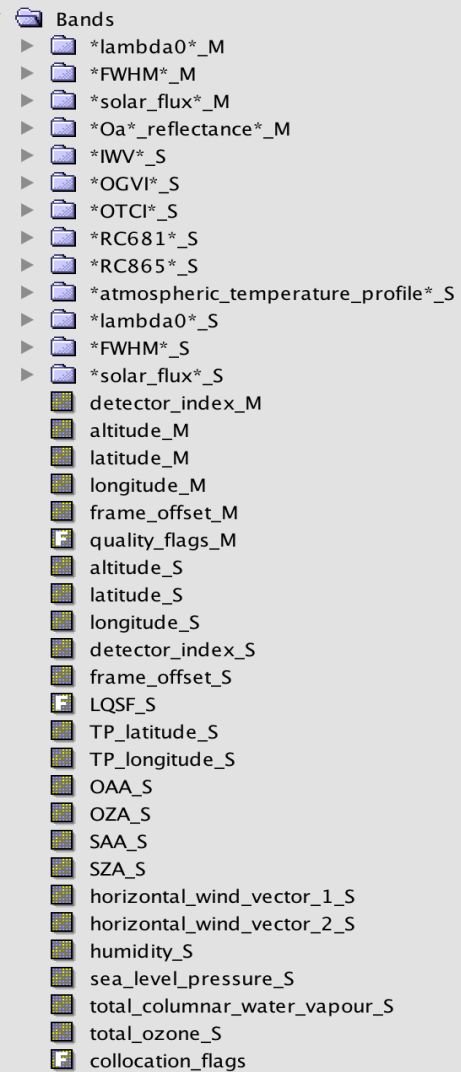
Slave file:

```
subset_0_of_S3B_OL_2_LFR____20191001T023650_20191001T023950_20191002T075103_0179_030_260_2520_LN1_O_NT_002.dim
```

Target file:

```
collocate_S3B_OL1_OL2_20191001.dim
```

Open RGB view using bands 8, 6 and 4





# Emissivity Calculation using NDVI-THM



Using the collocated product, start calculating the several variables needed for the LST algorithm with the *Raster/Band Maths* tool

The screenshot displays the QGIS Band Maths tool interface. The main window is titled "Band Maths" and shows the following configuration:

- Target product:** [6] collocate\_S3B\_L1\_L2\_20191001
- Name:** emis\_s\_S8
- Description:** (empty)
- Unit:** (empty)
- Spectral wavelength:** 0.0
- Virtual (save expression only, don't store data)
- Replace NaN and infinity results by (empty)
- Generate associated uncertainty band
- Band maths expression:** if (OGVI\_S <= 0.15) then (-0.051 \* Oa08\_reflectance\_M) + 0.98 else 0

Below the main window is the "Band Maths Expression Editor" dialog. It shows the same target product and a list of data sources (reflectance bands 01-08). The expression editor contains the same formula: `if (OGVI_S <= 0.15) then (-0.051 * Oa08_reflectance_M) + 0.98 else 0`. The interface includes buttons for "Load...", "Save...", "OK", "Cancel", and "Help".

# Emissivity calculation using (NDVI) Thresholds Method



$$P_v = (OGVI_S - 0.15) / (0.9 - 0.15)$$

Emissivity for soil pixels

$$emis\_s\_S8 = \text{if } (OGVI_S \leq 0.15) \text{ then } (-0.051 * Oa08\_reflectance\_M) + 0.98 \text{ else } 0$$

$$emis\_s\_S9 = \text{if } (OGVI_S \leq 0.15) \text{ then } (-0.032 * Oa09\_reflectance\_M) + 0.983 \text{ else } 0$$

Emissivity for mixed pixels

$$emis\_m\_S8 = \text{if } (OGVI_S > 0.15) \text{ and } (OGVI_S < 0.9) \text{ then } 0.969 * (1 - P_v) + (0.99 * P_v) \text{ else } 0$$

$$emis\_m\_S9 = \text{if } (OGVI_S > 0.15) \text{ and } (OGVI_S < 0.9) \text{ then } 0.977 * (1 - P_v) + (0.99 * P_v) \text{ else } 0$$

Emissivity for vegetation pixels

$$emis\_v = \text{if } (OGVI_S \geq 0.9) \text{ then } 0.99 \text{ else } 0$$

Total emissivity

$$emis\_total\_S8 = emis\_s\_S8 + emis\_m\_S8 + emis\_v$$

$$emis\_total\_S9 = emis\_s\_S9 + emis\_m\_S9 + emis\_v$$

Mean emissivity

$$emis\_mean = (emis\_total\_S8 + emis\_total\_S9) / 2$$

Differential emissivity

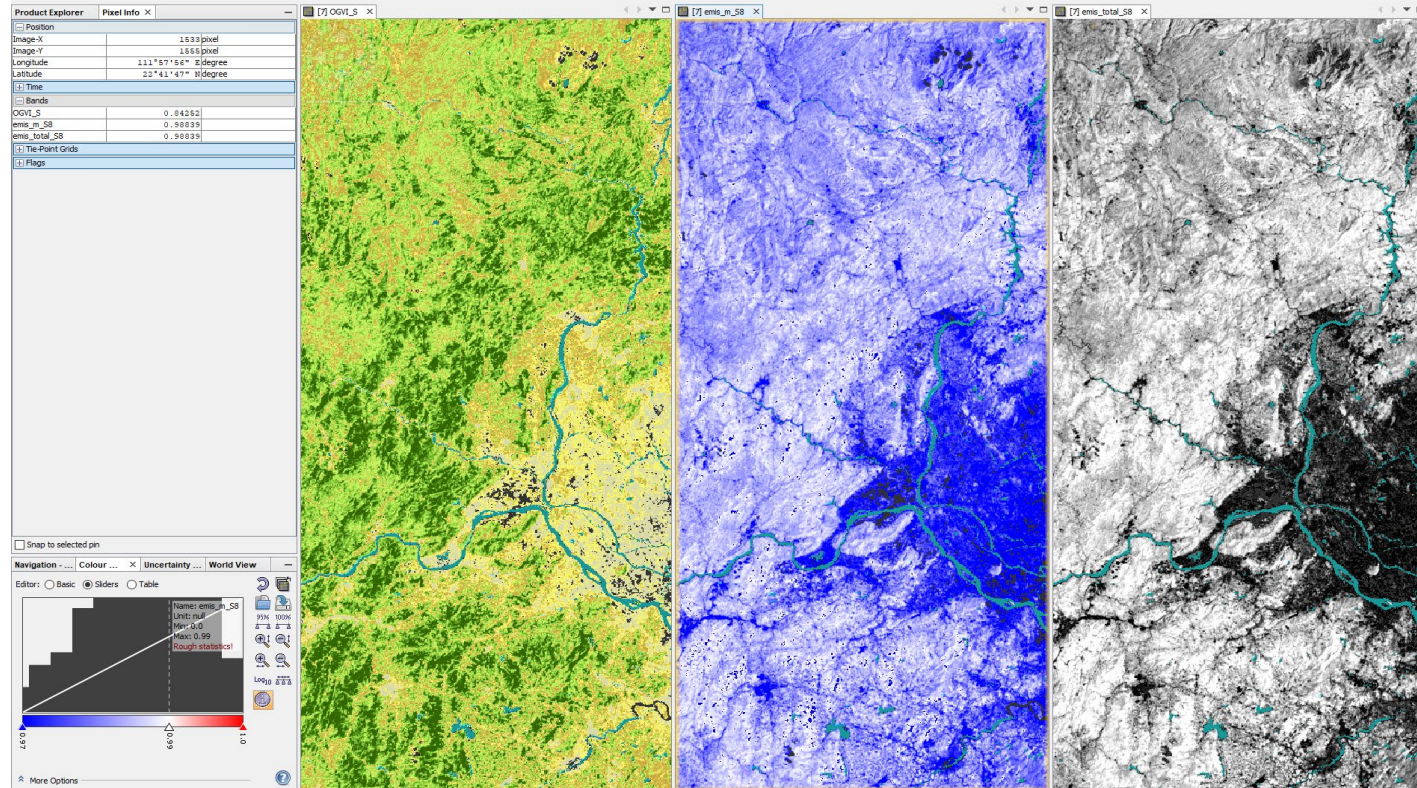
$$emis\_diff = emis\_total\_S8 - emis\_total\_S9$$

Water vapour to g\*cm<sup>2</sup>

$$\text{water\_vapour} = \text{IWV\_S\_S} / 10$$



Check the newly calculated values for water, soil and vegetation pixels (display the only pixels with NDVI values above/below a specific threshold)



# Temporal & spatial changes in NDVI



- **Open the S3 Synergy NDVI files:**

File1: *subset\_0\_of\_S3B\_SY\_2\_V10\_\_20190726T163346\_20190805T163346\_20190815T172025\_SOUTH\_EAST\_ASIA\_\_LN2\_O\_NT\_002.dim*

File2: *subset\_0\_of\_S3B\_SY\_2\_V10\_\_20190825T163346\_20190904T163346\_20190914T172004\_SOUTH\_EAST\_ASIA\_\_LN2\_O\_NT\_002.dim*

File3: *subset\_0\_of\_S3B\_SY\_2\_V10\_\_20190926T120000\_20191006T120000\_20191007T084850\_SOUTH\_EAST\_ASIA\_\_LN2\_O\_ST\_002.dim*

- **Visualize the NDVI images of each file**

- **Add all images in the time series analysis settings**

File Name	Type	Acquisition	Track	Orbit
subset_0_of_S3B_SY_2_V1...	SY_2_V10	26Jul2019	99999	99999
subset_0_of_S3B_SY_2_V1...	SY_2_V10	25Aug2019	99999	99999
subset_0_of_S3B_SY_2_V1...	SY_2_V10	26Sep2019	99999	99999



# Temporal & spatial changes in NDVI



- *Move the cursor over the image and observe the changes in graph.*
- *The graph shows the changes in NDVI for each pixel in the image you click*

