particrefs

# READINGS IN PARTICIPATION (including INDIGENOUS KNOWLEDGE, & some PRA)

# M.K. McCall PGM Dept. ITC, Enschede

# May 2005

Agere, S. (1986) Participation in social development and integration in sub-Saharan Africa. <u>Journal of Social Development in Africa</u> 1 (1) 93-110

Agrawal, Arun; Gibson, Clark C. (1999) Enchantment and disenchantment: the role of community in natural resource conservation. <u>World Development</u> 27 (4) 629-649. Keywords: local NRM, stakeholders, participation, institutions, social, (culture)

Alden Wily, Liz (1997)

<u>Villagers as Forest Managers and</u>

<u>Governments "Learning to Let Go". The Case of Duru-Haitemba and Mgori Forests in Tanzania.</u>

London: IIED, Forestry & Land Use Programme No. 9. (22p.)

Keywords: Africa, forestry, participation, local

NRM, institutions, IIED

Alden Wily, Liz (1999)

The evolution of community-based forest management in Tanzania.

Rome: FAO, Proc. of Internat. Workshop on Community Forestry in Africa, Banjul, April 1999, pp.127-143.

Keywords: Africa, Tanzania, community forestry, JFM, participation, local NRM, institutions

Alden Wily, Liz; with CBFM Lead Team (1999) Community-based Forest Management in Tanzania: an overview of the process, Dar es Salaam?: Forest Action Network, Tanzania. (16p.) Keywords: Africa, Tanzania, community

Keywords: Africa, Tanzania, community forestry, JFM, participation, local NRM, institutions

Alden Wily, Liz (2001)

Making Woodland Management more
Democratic: cases from Eastern and Southern
Africa.

London: IIED, <u>Dryland Network Issues Paper</u> No. 99. (22p.)

Keywords: CB NRM, institutions, CPR, local

Keywords: CB NRM, institutions, CPR, local NRM, tenure, legislation, participation, Africa, (Tanzania), IIED

Alden Wily, Liz (2000)

Ithaca, NY: Cornell U.P.

The democratization of forest management in eastern and southern Africa.

International Forestry Review 2 (4) 287-294.

Keywords: community forestry, law, legislation,

participation, local NRM, JFM, institutions

Allen, H.B. (1953) Rural Reconstruction in

Action. Experience in the Near and Middle East.

Arnold, J.E.M. and W.C. Stewart (1991)

<u>Common Property Resource Management in India.</u> Oxford: Oxford Forestry Institute, <u>Tropical Forestry Papers</u> No. 24 (52p.)

Arnstein, Sherry R. (1969) A ladder of citizen participation. J. American Institute of Planners 35 (4) 216-224.

Keywords: USA, urban planning, Citizen Participation, classic text on P.P.; 'ladders'

Astorga, L.; H. Granholm, S. Johansson and T. Oksanen (1992) Planning and Management of Participatory Forestry Projects. Vol.1. Project Cycle. Vol.2. Readings. Helsinki: FINNIDA Methodology: FTP, PAME, etc.

Baland, Jean-Marie; Platteau, Jean-Philippe (1996)

Halting Degradation of Natural Resources - is there a Role for Rural Communities?

Oxford: Clarendon.

Keywords: local NRM, stakeholders, participation, (institutions), social, (CPR)

Ballabh, Vishwa; Balooni, Kulbhushan; Dave, Shibani (2002)

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World Development 30 (12) 2153-2167 Keywords: CPR, local NRM, JFM, participation, forestry, community institutions, India

Beck, T.; Nesmith, C. (2001) Building on poor people's capacities: the case of common property resources in India and West Africa.

World Development 29 (1) 119-133. Keywords: CPR, local NRM, participation, institutions, wildlife, Africa, India

Berkes, Fikret (ed.) (1989) <u>Common Property</u> <u>Resources. Ecology and Community-Based</u> <u>Sustainable Development.</u> London: Belhaven (320p.)

Berkes/Regier/Goodland/Grima/Bromley/ et al. Theories of Commons; Critique conventional res. mngt. science; Single resource cases (fisheries, irrign,); Multiple CP resources. Canada, US, Japan, Mex., Phil, US, India, Mali, Melanesia. Sarawak

Bhatnagar, B. and A.C. Williams (eds) (1992)

<u>Participatory Development and the World Bank:</u>

<u>Potential Directions for Change.</u> Washington

<u>DC: World Bank <u>Disc. Papers</u> No. 183.

(195p.)</u>

Biesbrouck, Karen (2001)

New perspectives on forest dynamics and the myth of 'communities': reconsidering comanagement of tropical rainforests in Cameroon.

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Blunt, Peter; Warren, D. Michael (1996)
<a href="Indigenous Organizations and Development">Indigenous Organizations and Development</a>.

London: Intermediate Technology, IT Studies in Indig. Know. & Development. (250p.)

Keywords: ITK, Africa?, institutions, participation, (women), (technology), culture

Forest Resource Management. A Reader Bangkok: FAO, Regional "Wood Energy Development Programme in Asia, Project Working Paper No. 1. contents: Dankelman 1989 W. & forest, fuel. food. Fortmann & Rocheleau 1984 A/F 4 Myths & Dominican Rep. Case study. Rocheleau 1987 W., trees & tenure, implications for AF. Skutsch 1987 W. access in Soc. For., guide to lit. Leuschner & Khaleque 1987 Homestead For. in Bangladesh. Gronow et al 1987 W. participation in For. Cttes, Nepal. Borlagdan et al 1988 Cebu integrated SocFor. project, Phillipines. ILO 1988 poor women organise SocFor etc. in Bankura, India. FAO 1989 Restoring the balance: W. & Forest resources.

van der Borg, Brigitte (1989) Women's Role in

Bradley, Phillip N. (1991) <u>Woodfuel, Women and Woodlots.</u> <u>Vol.1 A Basis for Effective Research and Development in East Africa.</u>
London: Basingtoke (338p.)
History & analysis of the KWDP project; indigenous & adapted wood production; tree planting & care; women's role in wood itc 330.15 production.

Brinkerhoff, D.W. and A.A. Goldsmith (1992)
Promoting the sustainability of development institutions: a framework for strategy. World Development 20 (3) 369-383.
egs. of agric. & health sectors. Instits. as: systems in own envirs; managed entities of tasks, products, people, etc.; loci of exchange of resources. Instit. sustY needs responsive outputs, cost-effective G&S delivery, & ites 339 96 flows. SustY of develt. instits. (agric. & Health egs.)

Bryant, C. and Louise G. White (1982)

Managing Development in the Third World.

Boulder, CO: Westview (322p.)

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Chap. 9 "Lower-level Administrators and Field agents" [itc lib]

Buvinic, Mayra (1986) Projects for women in the third world: explaining their misbehaviour. World Development 14 (5) 653-664.

Carloni, Alice (1983) <u>Integrating Women in Agricultural Projects.</u> (Case studies of 10 FAO-assisted field projects.) Rome: FAO

Carney, Diana; Farrington, John (1998)

Natural Resource Management and Institutional Change.

London : Routledge

Keywords: NRM, institutions, NGO,

participation, policy

## CARPE (2001)

Community Management of Forest Resources. Moving from "Keep Out!" to "Let's Collaborate!"

CARPE (Central African Regional Program for the Environment) Issue Brief No. 17. (7p.) http://carpe.umd.edu/products/carpe cd 02/CARPE Briefs/congo 17.html

Keywords: Africa, (Cameroun, Congo basin), community forestry, policy, participation, local NRM, institutions

Carroll, Thomas F. (1992) Intermediary NGOs: the Supporting Link in Grassroots Development. West Hartford CN: Kumarian (274p.) NGOs as umbrella linking grassroots with donors or govt. Cases: Peru, Costa Rica, Chile.

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Participation and Geographical Information: a position paper.

Paper given at: ESF-NSF Workshop on Access to Geographic Information and Participatory Approaches using Geographic Information, Spoleto, Dec. 2001. (19p.)

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Carver, Steve (2003)

The future of participatory approaches using geographic information: developing a research agenda for the 21st Century.

<u>URISA Journal</u> 15, APA I, 61-71.

<a href="http://urisa.org/Journal/APANo1/carver.pdf">http://urisa.org/Journal/APANo1/carver.pdf</a>
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London: IIED, Sustainable Agriculture & Rural Livelihoods.

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Centre for Citizenship Education (2004) The Ladder of Citizenship Participation, (1p.) Wellington, NZ; Centre for Citizenship
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<a href="participationladder">participation ladder</a>

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Washington DC: World Bank Disc. Paper No. 40 (63p.)

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Washington DC: World Bank Disc. Paper No. 166 (64p.)
itc 341.232 364.46

Chambers, Robert (ed.) (1979) Rural Development: Whose Knowledge counts? <u>IDS Bulletin</u> (University of Sussex) Special Issue Vol. 10 No. 2

Chambers, R. (1983) <u>Rural Development - Putting the Last First.</u> London: Longman (246p.)

Chambers, R., R. Longhurst and A. Pacey (eds) (1981) <u>Seasonal Dimensions to Rural Poverty.</u> London: Frances Pinter.

Chilivumba, Alifeyo and Joyce Kanyangwa (1985) Women's Participation in Rural Development Programmes: the Case of the SIDA Lima Programme. Lusaka: University of Zambia, Rural Development Studies Bureau Occas. Paper No. 22.

Conroy, Czech and Miles Litvinoff (eds) (1988)

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London: Earthscan & IIED (302p.)

Nat. & Regional Environ. Instits.: NCS Zambia, River basin develt. Ecuador; IRDPs Zambia, Envir. Mngt. developt. Indonesia, Hong Kong; Human settlements: Pakistan, Uruguay, Zambia. ..:

Appropriate tech. & rural industries: Kenya stoves, Nepal micro-hydro, Kerala fishing boats, Ghana, Swaziland;

Soil & Water Conservation : Kenya, Niger, Burkina, Haiti, ...

Crowley, J. (1985) Go to the People: an African Experience in Education for Development.

Eldoret: AMACEA Gaba Publications,
Spearhead No. 86/87. (103p.)

Development Education Programme DEP;

literacy, health, women's groups, leadership, organisations

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<u>Gender Training: the Source Book</u>

Oxford: Oxfam [and] Amsterdam: KIT (160p.) (178p.)

Keywords: (PRA, RRA) methods, Oxfam, (Africa, RSA, south Asia, mid-east), biblio

Danaiya Usher, Ann (1992) After the forest: AIDS as ecological collapse in Thailand.

<u>Development Dialogue</u> 1992:1-2, p.12-49.

Relation collapse of trad. NRM (forestry) & expansion of AIDS. Socio-cult. attitudes & govt. policies.

d'Aguino, Patrick (2002)

Empowerment rather than participatory: a new approach for a bottom-up regional planning. Geographie, Economie, Societe 4 (1) 57-68. Keywords: integrated regional planning, institutions, decentralisation, participation, (Africa)

Dias, Hiran D. (1993) <u>Poverty and</u>
<u>Development</u>. Bangkok: A.I.T. Human
Settlements Division, Professorial Inaugaral
Lecture. (30p.)

Dietz, Ton (1990) Seasonality and the rhythm of external interference. pp. 89-110 IN: D.W.J. Foeken & A.P. den Hartog (eds) (1990) Seasons, Food Supply and Nutrition in Africa. Leiden: African Studies Centre Research Reports No. 43.

Kenya, Zimbabwe, Togo, Benin, Morocco. Agric. seasons + labour migration, sales, food aid, taxes, govt. mobility, schools, etc.

EADI (1987) <u>Participatory Action Research.</u> <u>EADI Bulletin</u> [Tilburg] 1.87 Special theme.

Farrington, John; Nelson, John (1997)
<u>Using Logframes to Monitor and Review</u>
<u>Farmer Participatory Research.</u>
London: ODI, Agricultural Research &
Extension Network AgREN Network Paper No.
73. (39p.)
Keywords: agriculture, participation, PRA,

PTD, institutions, (donors), projects, ODI

Fortmann, L. (1985) Seasonal dimensions of rural social organisations. <u>J. of Development Studies</u> 21, 377-389.

Fowler, Alan (1991) NGOs in eastern and southern Africa. <u>Development Policy Review</u> 9 (1) 53-84

Fowler, Alan (1992) Building partnerships between Northern and Southern NGOs: issues for the Nineties. <u>Development - SID Journal</u> 1992:1, 16-23

Francis, Paul; James, Robert (2003)
Balancing rural poverty reduction and citizen participation: the contradictions of Uganda's decentralization program.

World Development 33 (2) 325-337.

Keywords: political, participation, institutions, govt., regional planning, Africa, Uganda, governance

Freire, P. (1972) <u>Pedagogy of the Oppressed</u>. Harmondsworth: Penguin

Fuglesang, A. (1982) <u>About Understanding - Ideas and Observations on Cross-Cultural Communication</u>. Uppsala: Dag Hammarskjöld Foundation

Fuglesang, A. (1984) The myth of people's ignorance. <u>Development Dialogue</u> 1984 (1-2), 42-62

Fuller, Joanna (1998)

<u>Participatory Monitoring of Forest Resources:</u>

<u>Current Methodologies being developed in Thailand.</u>

London: ODI, Rural Development Forestry

Network, Paper No. 23e. p.23-27.
Keywords: community forestry, social, participation, monitor, Thailand, ODI

Gajanayake, Stanley and Jaya Gajanayake (1993) Community Empowerment. A

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Project Development. Dekalb, IL: Northern
Illinois University, Office of Internat. Training &
Consultation. New York, NY: PACT. (160p.)
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training, Lanka?,

Garcia-Zamor, Jean Claude (ed.) (1985) Public Participation in Development Planning and Management. Boulder, CO: Westview (264p.) itc 341.232 (5+6) Intro. PP; Re-orienting bureaucracy; Sahelprogram eval; WAfrica- partic. food & nutrition prog; Egypt- Viillage Service prog; Sri Lanka-Gal oya water mngt; Philip.- PP irrign; Bangladesh- rudevel.; Yemen- devel. plan;

Institutionalise PP.

Grassroots Environmental Action. People's Participation in Sustainable Development.
London: Routledge (351p.)
SD & pop. partic., EmpowerT & local NRM, TZ-Barabaig; Phil- irrigation; Brasil- wetlands conservn.; Mexico- urban, rural groups; Keralafisheries; Himalaya- mtn envir.; Ethiopia- mtns; overview; who should manage?- propositions

Ghai, Dharam and Jessica Vivian (eds) (1992)

(2001)

<u>Bringing Citizen Voice and Client Focus into Service Delivery</u>

Brighton, University of Sussex, IDS, IDS

Working Paper No. 138. (65p.)

Keywords: governance, participation.

institutions, social services, (donors)

Goetz, Anne Marie; Gaventa, John; et al.

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Gow, D.D. and J. Vansant (1983) Beyond the rhetoric of rural development participation: how can it be done? World Development 11 (5) 427-446.

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Participatory Development.

London: Intermediate Technology (320p.)

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Hobley, Mary (1996)
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London: ODI, Rural Development Forestry Study Guide No.3. (330p.)

Keywords: institutions, RRA, PRA methods, gender, training, ODI

Holcombe, S.H. (1987) Strengthening rural women producers in Darfur Region: constraints and opportunities. <u>GeoJournal</u> 14 (1) 19-28 Donor mis-perception of import. of women farmers; also women's self-perception undervalues own contrib. to food prod. & farming. "ideology of women's non-partic. in agric. is very strong".

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Collaborative Management of Natural

Resources: an Overview.

Rome: FAO (Forests, Trees & People) (84p.)

Keywords: (forestry), participation, local NRM, institutions, FAO, P-ladder

Johnson, Craig; Forsyth, Timothy (2002) In the eyes of the state: negotiating a "rightsbased approach" to forest conservation in Thailand.

World Development 30 (9) 1591-1605 Keywords: Thailand, forestry, community, participation, CPR, tenure, governance, (conflicts, livelihoods, mangroves)

Kabutha, Charity, R. Ford, B. Thomas-Slayter et al (1990) Participatory Rural Appraisal
Handbook: Conducting PRAs in Kenya. Nairobi:
National Environment Secretariat; [and] Njoro,
Kenya: Egerton University; [and] Worcester,
MA: Clark University; [and] Washington DC:
Center for International Development &
Environment, World Resources Institute. (90p.)
itc 711.3(676.2)
Training manual in PRA, RRA, problem
priorities, opportunities, Village Resource
Management Plan.

Kabutha, Charity; Thomas-Slayter, Barbara; Ford, Richard (1991)

Assessing Mbusyani: Using Participatory Rural Appraisal for Sustainable Resources

Management.

Nairobi: National Enviroment Secretariat [AND] Njoro: Egerton University [AND] Washington DC: Center for International Development & Environment, World Resources Institute (90p.)

Keywords: PRA, RRA methods, NRM, soil, training, Kenya

Kamara, Siapha and A. Denkabe (1993)

<u>Handbooks on Participatory Approach to Training.</u>

Vol. I Project Planning Management and Animation. (42p.) Vol. II Gender in Development. (42p.). Freedom Publ. CUSO-CCPD training experience in N. Ghana.

Kerkhof, Paul (2000)

Local Forest Management in the Sahel.

Towards a new social contract.

London: SOS Sahel International UK. (83p.) Keywords: Africa, Mali, Burkina, Niger, Sudan, Chad, local NRM, forestry, participation, institutions, (law)

Source: ITC

Kipare, N.O. (1991) Age, gender, class in the scramble for Maasailand. <u>Nature & Resources</u> 27 (4) 10-17. Kenya

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World Development 28 (5) 929-944. Keywords: water, households, participation, projects, Malawi, Africa

Korten, David C. (ed.) (1986) <u>Community Management. Asian Experience and Perspectives.</u> West Hartford, CT: Kumarian (328p.) itc 341.232(364.46) Indonesia, Thailand, Phil. India, Sri Lanka, Bangladesh, Burma. Asian values, vill. develt. work, agric., HH strategies, local admin. Mobilising resources: Home gdns, irrign., land colon. Interventions: health, technol., water. Govt as intervenor: soc. for., resources, soc. develt., Enabling: fish, VOs.

Korten, Frances F. (1983) Community Participation. Chap. 11 IN Korten, D.C. and F.B. Alfonso (1983) <u>Bureaucracy and the Poor.</u> <u>Closing the Gap.</u> West Hartford CT: Kumarian.

Kronenburg, J.B.M. (1986) Empowerment of the Poor: a Comparative Analysis of Two Development Endeavours in Kenya.

Amsterdam: Royal Tropical Institute (KIT)

Kumar, Sanjay (2002)

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World Development 30 (5) 763-782. Keywords: CPR, local NRM, JFM, participation, forestry, poverty, community, SIA, economics, India

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IDS Bulletin 28 (4) Oct. '97 special issue.

Keywords: local NRM, (SIA), stakeholders, participation, institutions, landuse, water, forestry, wildlife, agriculture, Ghana.

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Keywords: local NRM, stakeholders, participation, P ladder, institutions, (law)

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Lisk, Franklyn (ed.) (1985) Popular Participation in Planning for Basic Needs: Concepts,

Methods and Practices. Aldershot: Gower for ILO World Employment Programme

Lovell, Catherine H. (1992) <u>Breaking the Cycle of Poverty. The BRAC Strategy.</u> West Hartford CN: Kumarian (206p.)

Bangladesh Rural Advancement Committee programmes. +1 lakh new members p.a. Scaling-up of small NGO. Mngt., finance, education, etc procedures.

Makoloweka, S.; Shurcliff, K. (1997)
Coastal Management in Tanga, Tanzantas ta84]
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Ocean & Coastal Management 37 (3) 349-357.

Keywords: coastal, local-level NRM, institutions, participation, Tanzania

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Marsden, David and Peter Oakley (eds) (1990) <u>Evaluating Social Development Projects.</u> Oxford: Oxfam Develt. Guidelines No. 5. (162p.)

Meaning & eval. of soc. devel; Qualitative dims.; Methodology; Partnership in SD eval.; Evaluators.

Marsden, David, Peter Oakley and Brian Pratt (1994) Measuring the Process. Guidelines for Evaluating Social Development. INTRAC (178p.)

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Matlon, P., R. Cantrell, D. King and M. Benoit-Cattin (eds) (1984) Coming Full Circle - Farmers' Participation in the Development of Technology. Ottawa: IDRC

Mbilinyi, Marjorie (ed) (1984) <u>Cooperation or Exploitation? Experiences of Women's Initiatives in Tanzania.</u> Geneva: ILO, World Employment Programme Paper WEP 10-4-04-18-1.

7 field studies of female income-earning activities in Tanzania - cooperative,individual, rural, urban. Case studies are perceptive accounts based on residential observation. The introduction notes changing household structures, and political, class and patriarchal restrictions on women's groups.

McCall, M.K. (1988) The implications of Eastern African rural social structure for local-level development: the case for participatory development based on indigenous knowledge systems. Regional Development Dialogue 9 (2) 41-69

McCall, Michael K. (2003) Seeking good governance in participatory-GIS: a review of processes and governance dimensions in applying GIS to participatory spatial planning. <u>Habitat International</u> 27 (4) 549-573.

Mosse, David (with KRIBP Team) (1996) Local Institutions and Farming Systems Development: Thoughts from a Project in Tribal Western India.

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Keywords: PRA, participation, (agriculture), local NRM, ODI

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Development as a Process: Concepts and Methods for Working with @@@plex382: 001.893 London: Routledge, for: ODI. (224p.) Keywords: institutions, participation, planning, management, projects, donors, Russia, Asia,

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Musch, Arne (2001)
The Small Gods of Participation.
Enschede: University of Twente, (Technology & Development Group). PhD. Thesis (217p.)
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Mustafa, Kemal (1982) The Jipemoyo Project.

IN Y. Kassum and K. Mustafa (eds) (1982)

Participatory Research: an Emerging Alternative

Methodology in Social Science Research. Newn

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pp 214-229.

Narayan, Deepa (1993)
Focus on Participation: Evidence from 121
Rural Water Supply Projects.
Washington DC: UNDP-World Bank Water
Supply & Sanitation Program.
Keywords: water, participation, UNDP, Bank

Narayan, Deepa (1993) Participatory
Evaluation: Tools for Managing Change in
Water and Sanitation. Washington DC: World
Bank (123p.)
partic. processes & key indicators to involve
community members towards sustainY,
effective use & replicability.

Narayan, Deepa and Lyra Srinivasan (1994)

<u>Participatory Development Tool Kit. Training Materials for Agencies and Communities.</u>

Washington DC: World Bank (68p.)

visual materials help (women's) empowerment at community level, & also sensitise senior decision-makers. gender

Narayan, Deepa (1996)

<u>Towards Participatory Research</u>.

Washington DC: World Bank, Technical Paper
No. 307. (265p.)

Keywords: Bank, (PRA), participation,
methods, gender,

Nichols, Paul (1991) <u>Social Survey Methods. A</u>
<u>Guide for Development Workers.</u> Oxford:
Oxfam <u>Development Guidelines Series</u> No. 6
(132p.)

NLUPC (1998)

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Dar es Salaam: Ministry of Lands, National Land Use Planning Commission (NLUPC) (135p.)

Keywords: Tanzania, landuse, NRM, local national planning, participation, policy, tenure, survey, M&E, methods, Africa

Norem, R.H., R. Yoder and Y. Martin (1989)
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Issues in Third World Agricultural Development.
pp.91-100 IN Warren, D.M., L.J. Slikkerveer
and S.O. Titilola (1989) Indigenous Knowledge
Systems: Implications for Agriculture and
International Development. Ames, IO: Iowa
State University, Studies in Technology & Social
Change No. 11 (186p.)

Oakley, Peter et al. (1991) Projects with People: The Practice of Participation in Rural Development. Geneva: ILO (284p.) Understanding partic.; Sectoral projects & part.: agric. extension, credit, resources, forestry, health, irrign, water. Part. as strategy, key elements; Partic. methods & tools; Evaluating Part.

Cases: Ghana, Tanz- drinkwater, Lesotho- soil erosion, Phil, Nepal-forest, Bangla, Peru, Mex-PIDER, Bolivia.

Ogden, Jean and Jess Ogden (1947) <u>These</u> <u>Things We Tried</u>: a Five Year Experiment in Community Development Initiated and Carried out by the Extension Division of the University of Virginia. University of Virginia, Extension Division, 25 No.6. Oct.15th 1947.

Paakkanen, Liisa (ed.) (1981) <u>Participation, Needs and Village level Development.</u>
Helsinki: <u>Finnish National Commission for UNESCO</u> Publ. no. 18; <u>Jipemoyo</u> 4:1981 Bagamoyo District, Tanzania

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project cycle.

Peters, Charles M. (1999)
A Protocol for Participatory Inventories of
Timber and Non-Timber Forest Products in
Cameroon II: Refining the Methodology.
IRI/CARPE (Central African Regional Program
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Keywords: Africa, Cameroun, community
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